

**AGREEMENT BETWEEN THE COUNTY OF SAN MATEO AND ALAS: AYUDANDO  
LATINOS A SOÑAR**

This Agreement is entered into this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, by and between the County of San Mateo, a political subdivision of the state of California, hereinafter called "County," and ALAS: Ayudando Latinos A Soñar, hereinafter called "Contractor."

\* \* \*

Whereas, pursuant to Section 31000 of the California Government Code, County may contract with independent contractors for the furnishing of such services to or for County or any Department thereof; and

Whereas, it is necessary and desirable that Contractor be retained for the purpose of a multi-cultural wellness program on the San Mateo Coastsides.

**Now, therefore, it is agreed by the parties to this Agreement as follows:**

**1. Exhibits and Attachments**

The following exhibits and attachments are attached to this Agreement and incorporated into this Agreement by this reference:

- Exhibit A—Services
- Exhibit B—Payments and Rates
- Exhibit C—Contractor’s Budget
- Attachment C—Third Party Billing
- Attachment D—Agency Payor Financial
- Attachment E—Fingerprint Certification
- Attachment I—§ 504 Compliance
- Attachment M—MHSA Annual Agency Reporting

**2. Services to be performed by Contractor**

In consideration of the payments set forth in this Agreement and in Exhibit B, Contractor shall perform services for County in accordance with the terms, conditions, and specifications set forth in this Agreement and in Exhibit A.

**3. Payments**

In consideration of the services provided by Contractor in accordance with all terms, conditions, and specifications set forth in this Agreement and in Exhibit A, County shall make payment to Contractor based on the rates and in the manner specified in Exhibit B. County reserves the right to withhold payment if County determines that the quantity or quality of the work performed is unacceptable. In no event shall County’s total fiscal

obligation under this Agreement exceed SEVEN HUNDRED EIGHTY-NINE THOUSAND FIVE HUNDRED THIRTY-EIGHT DOLLARS (\$789,538). In the event that the County makes any advance payments, Contractor agrees to refund any amounts in excess of the amount owed by the County at the time of contract termination or expiration. Contractor is not entitled to payment for work not performed as required by this agreement.

#### **4. Term**

Subject to compliance with all terms and conditions, the term of this Agreement shall be from July 1, 2020 through June 30, 2022.

#### **5. Termination**

This Agreement may be terminated by Contractor or by the Chief of San Mateo County Health or his/her designee at any time without a requirement of good cause upon thirty (30) days' advance written notice to the other party. Subject to availability of funding, Contractor shall be entitled to receive payment for work/services provided prior to termination of the Agreement. Such payment shall be that prorated portion of the full payment determined by comparing the work/services actually completed to the work/services required by the Agreement.

County may terminate this Agreement or a portion of the services referenced in the Attachments and Exhibits based upon the unavailability of Federal, State, or County funds by providing written notice to Contractor as soon as is reasonably possible after County learns of said unavailability of outside funding.

#### **6. Contract Materials**

At the end of this Agreement, or in the event of termination, all finished or unfinished documents, data, studies, maps, photographs, reports, and other written materials (collectively referred to as "contract materials") prepared by Contractor under this Agreement shall become the property of County and shall be promptly delivered to County. Upon termination, Contractor may make and retain a copy of such contract materials if permitted by law.

#### **7. Relationship of Parties**

Contractor agrees and understands that the work/services performed under this Agreement are performed as an independent contractor and not as an employee of County and that neither Contractor nor its employees acquire any of the rights, privileges, powers, or advantages of County employees.

**8. Hold Harmless**

**a. General Hold Harmless**

Contractor shall indemnify and save harmless County and its officers, agents, employees, and servants from all claims, suits, or actions of every name, kind, and description resulting from this Agreement, the performance of any work or services required of Contractor under this Agreement, or payments made pursuant to this Agreement brought for, or on account of, any of the following:

(A) injuries to or death of any person, including Contractor or its employees/officers/agents;

(B) damage to any property of any kind whatsoever and to whomsoever belonging;

(C) any sanctions, penalties, or claims of damages resulting from Contractor's failure to comply, if applicable, with the requirements set forth in the Health Insurance Portability and Accountability Act of 1996 (HIPAA) and all Federal regulations promulgated thereunder, as amended; or

(D) any other loss or cost, including but not limited to that caused by the concurrent active or passive negligence of County and/or its officers, agents, employees, or servants. However, Contractor's duty to indemnify and save harmless under this Section shall not apply to injuries or damage for which County has been found in a court of competent jurisdiction to be solely liable by reason of its own negligence or willful misconduct.

The duty of Contractor to indemnify and save harmless as set forth by this Section shall include the duty to defend as set forth in Section 2778 of the California Civil Code.

**b. Intellectual Property Indemnification**

Contractor hereby certifies that it owns, controls, and/or licenses and retains all right, title, and/or interest in and to any intellectual property it uses in relation to this Agreement, including the design, look, feel, features, source code, content, and/or other technology relating to any part of the services it provides under this Agreement and including all related patents, inventions, trademarks, and copyrights, all applications therefor, and all trade names, service marks, know how, and trade secrets (collectively referred to as "IP Rights") except as otherwise noted by this Agreement.

Contractor warrants that the services it provides under this Agreement do not infringe, violate, trespass, or constitute the unauthorized use or misappropriation of any IP Rights of any third party. Contractor shall defend, indemnify, and hold harmless County

from and against all liabilities, costs, damages, losses, and expenses (including reasonable attorney fees) arising out of or related to any claim by a third party that the services provided under this Agreement infringe or violate any third-party's IP Rights provided any such right is enforceable in the United States. Contractor's duty to defend, indemnify, and hold harmless under this Section applies only provided that: (a) County notifies Contractor promptly in writing of any notice of any such third-party claim; (b) County cooperates with Contractor, at Contractor's expense, in all reasonable respects in connection with the investigation and defense of any such third-party claim; (c) Contractor retains sole control of the defense of any action on any such claim and all negotiations for its settlement or compromise (provided Contractor shall not have the right to settle any criminal action, suit, or proceeding without County's prior written consent, not to be unreasonably withheld, and provided further that any settlement permitted under this Section shall not impose any financial or other obligation on County, impair any right of County, or contain any stipulation, admission, or acknowledgement of wrongdoing on the part of County without County's prior written consent, not to be unreasonably withheld); and (d) should services under this Agreement become, or in Contractor's opinion be likely to become, the subject of such a claim, or in the event such a third party claim or threatened claim causes County's reasonable use of the services under this Agreement to be seriously endangered or disrupted, Contractor shall, at Contractor's option and expense, either: (i) procure for County the right to continue using the services without infringement or (ii) replace or modify the services so that they become non-infringing but remain functionally equivalent.

Notwithstanding anything in this Section to the contrary, Contractor will have no obligation or liability to County under this Section to the extent any otherwise covered claim is based upon: (a) any aspects of the services under this Agreement which have been modified by or for County (other than modification performed by, or at the direction of, Contractor) in such a way as to cause the alleged infringement at issue; and/or (b) any aspects of the services under this Agreement which have been used by County in a manner prohibited by this Agreement.

The duty of Contractor to indemnify and save harmless as set forth by this Section shall include the duty to defend as set forth in Section 2778 of the California Civil Code.

## **9. Assignability and Subcontracting**

Contractor shall not assign this Agreement or any portion of it to a third party or subcontract with a third party to provide services required by Contractor under this Agreement without the prior written consent of County. Any such assignment or subcontract without County's prior written consent shall give County the right to

automatically and immediately terminate this Agreement without penalty or advance notice.

## **10. Insurance**

### **a. General Requirements**

Contractor shall not commence work or be required to commence work under this Agreement unless and until all insurance required under this Section has been obtained and such insurance has been approved by County's Risk Management, and Contractor shall use diligence to obtain such insurance and to obtain such approval. Contractor shall furnish County with certificates of insurance evidencing the required coverage, and there shall be a specific contractual liability endorsement extending Contractor's coverage to include the contractual liability assumed by Contractor pursuant to this Agreement. These certificates shall specify or be endorsed to provide that thirty (30) days' notice must be given, in writing, to County of any pending change in the limits of liability or of any cancellation or modification of the policy.

### **b. Workers' Compensation and Employer's Liability Insurance**

Contractor shall have in effect during the entire term of this Agreement workers' compensation and employer's liability insurance providing full statutory coverage. In signing this Agreement, Contractor certifies, as required by Section 1861 of the California Labor Code, that (a) it is aware of the provisions of Section 3700 of the California Labor Code, which require every employer to be insured against liability for workers' compensation or to undertake self-insurance in accordance with the provisions of the Labor Code, and (b) it will comply with such provisions before commencing the performance of work under this Agreement.

### **c. Liability Insurance**

Contractor shall take out and maintain during the term of this Agreement such bodily injury liability and property damage liability insurance as shall protect Contractor and all of its employees/officers/agents while performing work covered by this Agreement from any and all claims for damages for bodily injury, including accidental death, as well as any and all claims for property damage which may arise from Contractor's operations under this Agreement, whether such operations be by Contractor, any subcontractor, anyone directly or indirectly employed by either of them, or an agent of either of them. Such insurance shall be combined single limit bodily injury and property damage for each occurrence and shall not be less than the amounts specified below:

(a) Comprehensive General Liability...      \$1,000,000

(b) Motor Vehicle Liability Insurance...	\$1,000,000
(c) Professional Liability.....	\$1,000,000

County and its officers, agents, employees, and servants shall be named as additional insured on any such policies of insurance, which shall also contain a provision that (a) the insurance afforded thereby to County and its officers, agents, employees, and servants shall be primary insurance to the full limits of liability of the policy and (b) if the County or its officers, agents, employees, and servants have other insurance against the loss covered by such a policy, such other insurance shall be excess insurance only.

In the event of the breach of any provision of this Section, or in the event any notice is received which indicates any required insurance coverage will be diminished or canceled, County, at its option, may, notwithstanding any other provision of this Agreement to the contrary, immediately declare a material breach of this Agreement and suspend all further work and payment pursuant to this Agreement.

**11. Compliance With Laws**

All services to be performed by Contractor pursuant to this Agreement shall be performed in accordance with all applicable Federal, State, County, and municipal laws, ordinances, and regulations, including but not limited to the Health Insurance Portability and Accountability Act of 1996 (HIPAA) and the Federal Regulations promulgated thereunder, as amended (if applicable), the Business Associate requirements set forth in Attachment H (if attached), the Americans with Disabilities Act of 1990, as amended, and Section 504 of the Rehabilitation Act of 1973, which prohibits discrimination on the basis of disability in programs and activities receiving any Federal or County financial assistance. Such services shall also be performed in accordance with all applicable ordinances and regulations, including but not limited to appropriate licensure, certification regulations, provisions pertaining to confidentiality of records, and applicable quality assurance regulations. In the event of a conflict between the terms of this Agreement and any applicable State, Federal, County, or municipal law or regulation, the requirements of the applicable law or regulation will take precedence over the requirements set forth in this Agreement.

Further, Contractor certifies that it and all of its subcontractors will adhere to all applicable provisions of Chapter 4.106 of the San Mateo County Ordinance Code, which regulates the use of disposable food service ware. Accordingly, Contractor shall not use any non-recyclable plastic disposable food service ware when providing prepared food on property owned or leased by the County and instead shall use biodegradable, compostable, reusable, or recyclable plastic food service ware on property owned or leased by the County.

Contractor will timely and accurately complete, sign, and submit all necessary documentation of compliance.

**12. Non-Discrimination and Other Requirements**

**a. General Non-discrimination**

No person shall be denied any services provided pursuant to this Agreement (except as limited by the scope of services) on the grounds of race, color, national origin, ancestry, age, disability (physical or mental), sex, sexual orientation, gender identity, marital or domestic partner status, religion, political beliefs or affiliation, familial or parental status (including pregnancy), medical condition (cancer-related), military service, or genetic information.

**b. Equal Employment Opportunity**

Contractor shall ensure equal employment opportunity based on objective standards of recruitment, classification, selection, promotion, compensation, performance evaluation, and management relations for all employees under this Agreement. Contractor's equal employment policies shall be made available to County upon request.

**c. Section 504 of the Rehabilitation Act of 1973**

Contractor shall comply with Section 504 of the Rehabilitation Act of 1973, as amended, which provides that no otherwise qualified individual with a disability shall, solely by reason of a disability, be excluded from the participation in, be denied the benefits of, or be subjected to discrimination in the performance of any services this Agreement. This Section applies only to contractors who are providing services to members of the public under this Agreement.

**d. Compliance with County's Equal Benefits Ordinance**

Contractor shall comply with all laws relating to the provision of benefits to its employees and their spouses or domestic partners, including, but not limited to, such laws prohibiting discrimination in the provision of such benefits on the basis that the spouse or domestic partner of the Contractor's employee is of the same or opposite sex as the employee.

**e. Discrimination Against Individuals with Disabilities**

The nondiscrimination requirements of 41 C.F.R. 60-741.5(a) are incorporated into this Agreement as if fully set forth here, and Contractor and any subcontractor shall abide by the requirements of 41 C.F.R. 60-741.5(a). This regulation prohibits discrimination against qualified individuals on the basis of disability and requires affirmative action by

covered prime contractors and subcontractors to employ and advance in employment qualified individuals with disabilities.

**f. History of Discrimination**

Contractor certifies that no finding of discrimination has been issued in the past 365 days against Contractor by the Equal Employment Opportunity Commission, the California Department of Fair Employment and Housing, or any other investigative entity. If any finding(s) of discrimination have been issued against Contractor within the past 365 days by the Equal Employment Opportunity Commission, the California Department of Fair Employment and Housing, or other investigative entity, Contractor shall provide County with a written explanation of the outcome(s) or remedy for the discrimination prior to execution of this Agreement. Failure to comply with this Section shall constitute a material breach of this Agreement and subjects the Agreement to immediate termination at the sole option of the County.

**g. Reporting; Violation of Non-discrimination Provisions**

Contractor shall report to the County Manager the filing in any court or with any administrative agency of any complaint or allegation of discrimination on any of the bases prohibited by this Section of the Agreement or the Section titled "Compliance with Laws". Such duty shall include reporting of the filing of any and all charges with the Equal Employment Opportunity Commission, the California Department of Fair Employment and Housing, or any other entity charged with the investigation or adjudication of allegations covered by this subsection within 30 days of such filing, provided that within such 30 days such entity has not notified Contractor that such charges are dismissed or otherwise unfounded. Such notification shall include a general description of the circumstances involved and a general description of the kind of discrimination alleged (for example, gender-, sexual orientation-, religion-, or race-based discrimination).

Violation of the non-discrimination provisions of this Agreement shall be considered a breach of this Agreement and subject the Contractor to penalties, to be determined by the County Manager, including but not limited to the following:

- i. termination of this Agreement;
- ii. disqualification of the Contractor from being considered for or being awarded a County contract for a period of up to 3 years;
- iii. liquidated damages of \$2,500 per violation; and/or
- iv. imposition of other appropriate contractual and civil remedies and sanctions, as determined by the County Manager.

To effectuate the provisions of this Section, the County Manager shall have the authority to offset all or any portion of the amount described in this Section against amounts due to Contractor under this Agreement or any other agreement between Contractor and County.

**h. Compliance with Living Wage Ordinance**

As required by Chapter 2.88 of the San Mateo County Ordinance Code, Contractor certifies all contractor(s) and subcontractor(s) obligated under this contract shall fully comply with the provisions of the County of San Mateo Living Wage Ordinance, including, but not limited to, paying all Covered Employees the current Living Wage and providing notice to all Covered Employees and Subcontractors as required under the Ordinance.

**13. Compliance with County Employee Jury Service Ordinance**

Contractor shall comply with Chapter 2.85 of the County's Ordinance Code, which states that Contractor shall have and adhere to a written policy providing that its employees, to the extent they are full-time employees and live in San Mateo County, shall receive from the Contractor, on an annual basis, no fewer than five days of regular pay for jury service in San Mateo County, with jury pay being provided only for each day of actual jury service. The policy may provide that such employees deposit any fees received for such jury service with Contractor or that the Contractor may deduct from an employee's regular pay the fees received for jury service in San Mateo County. By signing this Agreement, Contractor certifies that it has and adheres to a policy consistent with Chapter 2.85. For purposes of this Section, if Contractor has no employees in San Mateo County, it is sufficient for Contractor to provide the following written statement to County: "For purposes of San Mateo County's jury service ordinance, Contractor certifies that it has no full-time employees who live in San Mateo County. To the extent that it hires any such employees during the term of its Agreement with San Mateo County, Contractor shall adopt a policy that complies with Chapter 2.85 of the County's Ordinance Code." The requirements of Chapter 2.85 do not apply if this Agreement's total value listed in the Section titled "Payments", is less than one-hundred thousand dollars (\$100,000), but Contractor acknowledges that Chapter 2.85's requirements will apply if this Agreement is amended such that its total value meets or exceeds that threshold amount.

**14. Retention of Records; Right to Monitor and Audit**

(a) Contractor shall maintain all required records relating to services provided under this Agreement for three (3) years after County makes final payment and all other pending matters are closed, and Contractor shall be subject to the examination and/or audit by County, a Federal grantor agency, and the State of California.

(b) Contractor shall comply with all program and fiscal reporting requirements set forth by applicable Federal, State, and local agencies and as required by County.

(c) Contractor agrees upon reasonable notice to provide to County, to any Federal or State department having monitoring or review authority, to County's authorized representative, and/or to any of their respective audit agencies access to and the right to examine all records and documents necessary to determine compliance with relevant Federal, State, and local statutes, rules, and regulations, to determine compliance with this Agreement, and to evaluate the quality, appropriateness, and timeliness of services performed.

#### **15. Merger Clause; Amendments**

This Agreement, including the Exhibits and Attachments attached to this Agreement and incorporated by reference, constitutes the sole Agreement of the parties to this Agreement and correctly states the rights, duties, and obligations of each party as of this document's date. In the event that any term, condition, provision, requirement, or specification set forth in the body of this Agreement conflicts with or is inconsistent with any term, condition, provision, requirement, or specification in any Exhibit and/or Attachment to this Agreement, the provisions of the body of the Agreement shall prevail. Any prior agreement, promises, negotiations, or representations between the parties not expressly stated in this document are not binding. All subsequent modifications or amendments shall be in writing and signed by the parties.

#### **16. Controlling Law; Venue**

The validity of this Agreement and of its terms, the rights and duties of the parties under this Agreement, the interpretation of this Agreement, the performance of this Agreement, and any other dispute of any nature arising out of this Agreement shall be governed by the laws of the State of California without regard to its choice of law or conflict of law rules. Any dispute arising out of this Agreement shall be venued either in the San Mateo County Superior Court or in the United States District Court for the Northern District of California.

#### **17. Notices**

Any notice, request, demand, or other communication required or permitted under this Agreement shall be deemed to be properly given when both: (1) transmitted via facsimile to the telephone number listed below or transmitted via email to the email address listed below; and (2) sent to the physical address listed below by either being deposited in the United States mail, postage prepaid, or deposited for overnight delivery, charges prepaid, with an established overnight courier that provides a tracking number showing confirmation of receipt.

In the case of County, to:

Name/Title: Ziomara Ochoa Rodriguez/Deputy Director, Child & Youth Services  
Address: 2000 Alameda de las Pulgas, Suite 202, San Mateo, CA 94403  
Telephone: (650) 573-3926  
Facsimile: (650) 341-7389  
Email: [zochoa@smcgov.org](mailto:zochoa@smcgov.org)

In the case of Contractor, to:

Name/Title: Belinda Hernandez-Arriaga/Executive Director  
Address: 604 Main Street, Suite G, Half Moon Bay, CA 94019  
Telephone: (650) 560-8947  
Facsimile: (650) 560-8622  
Email: [Belinda@alasdreams.com](mailto:Belinda@alasdreams.com)

#### **18. Electronic Signature**

Both County and Contractor wish to permit this Agreement and future documents relating to this Agreement to be digitally signed in accordance with California law and County's Electronic Signature Administrative Memo. Any party to this Agreement may revoke such agreement to permit electronic signatures at any time in relation to all future documents by providing notice pursuant to this Agreement.

#### **19. Payment of Permits/Licenses**

Contractor bears responsibility to obtain any license, permit, or approval required from any agency for work/services to be performed under this Agreement at Contractor's own expense prior to commencement of said work/services. Failure to do so will result in forfeit of any right to compensation under this Agreement.

\* \* \*

In witness of and in agreement with this Agreement's terms, the parties, by their duly authorized representatives, affix their respective signatures.

COUNTY OF SAN MATEO

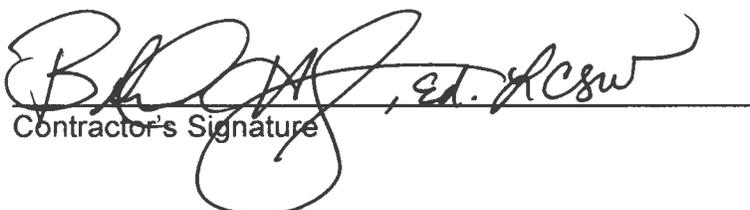
By: \_\_\_\_\_  
President, Board of Supervisors, San Mateo County

Date: \_\_\_\_\_

ATTEST:

By: \_\_\_\_\_  
Clerk of Said Board

ALAS: AYUDANDO LATINOS A SOÑAR

  
Contractor's Signature

Date: July 14, 2020

EXHIBIT A – SERVICES  
ALAS: AYUDANDO LATINOS A SOÑAR  
FY 2020 – 2022

In consideration of the payments set forth in Exhibit B, Contractor shall provide the following services:

I. DESCRIPTION OF SERVICES TO BE PERFORMED BY CONTRACTOR

Contractor shall provide a Multi-Cultural Wellness Program (MCWP) for behavioral health clients/consumers and their family members in the Coastsides, serving from Half Moon Bay to Pescadero. The purpose of this program is to provide culturally responsive community-based mental health and substance use services and programming, including: peer support groups, art and wellness activities, capacity building, outreach and linkages to behavioral health services and other resources as needed for marginalized ethnic, linguistic and cultural communities in the Coastsides region. Contractor is the Lead Agency to coordinate and manage services and shall work with San Mateo County Behavioral Health and Recovery Services (BHRS) staff (“County”) to implement these services in accordance with the California Mental Health Services Act (MHSA) requirements.

A. Scope of Work - Service Approach

1. Trauma-informed

All services provided through this agreement shall incorporate the six key principles of a trauma-informed approach into all programming for youth and adults with mental illness and/or co-occurring substance use challenges and their families. The six key principles are: safety, trustworthiness and transparency, peer support, collaboration, empowerment and cultural, historical and gender issues.

2. Target Population

The MCWP will primarily target Coastsides low-income marginalized groups including Latino immigrant families and Lesbian, Gay, Bisexual, Transgender, and Questioning (LGBTQ+) youth. Services shall focus on the marginalized ethnic, cultural and linguistic communities that are largely unserved by County systems and made up of mostly Latino agricultural workers and their families. All programming shall use culturally-based and responsive frameworks, including:

- a. Supporting positive perceptions of cultural identity (e.g. National Compadres Network curriculum).
- b. Promoting cultural assets including family and community interconnectedness, faith/religiosity/spirituality and familismo, respeto, personalismo).
- c. Employing peer-to-peer strategies (e.g. promotores) to reduce stigma, build trust and relationships, and associate with positive role models with lived experience in behavioral health and being from the Coastside community.

### 3. Structural Challenges

All programming will be designed to address barriers to accessing services including, but not limited to:

- a. Financial assistance
- b. Day/time availability of services
- c. Cultural responsiveness, including bilingual and bicultural staff
- d. Stigma and education/awareness
- e. Gaps in services (e.g. evidence-based culturally relevant substance use services, mental health counseling and intervention services for mild to moderate)

### 4. Community Collaboration

A collaborative, community-based asset approach to the MCWP will allow for leveraging of influence, resources, expertise and capacity to provide services. Services that address cultural, social and service needs of the community will be prioritized. Collaboration and co-location of services within housing, schools, churches and community-based centers will be used to foster trusting relationships with the target population that can influence their decision to access services and offer ongoing presence and opportunities for community members to engage.

## B. MCWP Services

The MCWP will support a broad range of behavioral health wellness services. Ongoing treatment and crisis intervention will not be provided on-site at the MCWP. Contractor will work with BHRS to develop a plan for

responding to clients in crisis. The final adopted plan will be submitted to BHRS no later than March 1, 2021.

The MCWP will be operated by a collaborative of providers, convened by the Contractor as the lead administrative and fiscal agency as described below.

1. Service Categories

a. Lead Agency

As the Lead Agency, Contractor's responsibilities include:

- i. Contractor shall identify and secure a primary location/space in the northern part of the Coastside (i.e. Half Moon Bay) to serve as a physical space for low-income multiracial, multicultural and multigenerational families to gather and create community, decrease stigma, bring awareness about behavioral health challenges and provide wellness activities, services and linkages. Field-based services will be provided throughout the rest of the Coastside region, specifically to Pescadero Latino migrant farmworkers and their families.
- ii. Provide and/or arrange transportation for clients (e.g. bus service) to individuals/groups, services, events and/or treatment available at the wellness center, BHRS clinic and other locations as appropriate for clients in the South Coast. Coordinate with service providers in the South Coast.
- iii. Convene MCWP provider agencies, facilitate communication and facilitate regular MCWP collaboration meetings.
- iv. Coordinate budget allocation, reporting, fiscal planning and other administrative requirements.
- v. Coordinate supplementary training opportunities for staff in collaboration with BHRS.
- vi. Coordinate with the agency that is selected by the County to provide substance use services, including but not limited to, referring clients to substance use services; supporting psychoeducation and prevention workshops that focus on topics of substance use.
- vii. In collaboration and participation with other MCWP agencies, organize an annual multicultural event to be held in May for Mental Health Awareness Month. The focus of the event is to bring the community together to receive culturally responsive behavioral health information, engage in services and invite in the

sharing of cultural wellness practices and strengths. This can be a collaborative effort with other multicultural events (e.g. the Latino Commission Sana, Sana event) when offered in the Coastside region on the month of May.

- viii. Co-chair the Coastside collaborative meeting to support community-based collaboration and provide a forum for ongoing input into the MCWP development of operations and service enhancements.
- ix. Facilitate a Community Advisory Committee (CAC) to have a forum for MCWP participants to provide input about program services, review evaluations of activities and make suggestions for improvement.
  - a) The CAC will consist of a minimum of five (5) members (comprised of at least two (2) family, two (2) peers, and one (1) staff or support-no vote person) that will meet quarterly.
  - b) Provide incentives/stipends for non-staff CAC participants.
  - c) Prepare and support individuals interested in filling an CAC position.

b. Behavioral Health/Wellness Services

Contractor shall deliver the following behavioral health/wellness services:

- i. Linkages/referrals to Behavioral Health and Recovery Services for individuals who may need more extensive treatment. Strategies may include but are not limited to:
  - a) initial mental health screening to engage potential clients at one-on-one visits with clients; a licensed clinician shall review all intakes and screenings and assign appropriate services
  - b) providing brief interventions to motivate more extensive treatment; through group activities (e.g. platicas)
  - c) providing warm hand-off to facilitate assessment and follow up treatment as needed.
- ii. Short-term mental health counseling services to 240 new clients not currently being served (a total of 470 unique clients served per year) that do not immediately meet medical necessity for serious mental illness. Services shall include, but not limited to the following characteristics:
  - a) Culturally responsive and meet clients where they are (e.g. home visiting, providing

- counseling at schools, local churches and community settings)
  - b) Co-occurring capable to support clients with substance use conditions
  - c) Staff to client ration of 1:20
  - d) Individual Therapy: Individual Therapy are those therapeutic interventions consistent with the client's goals that focus primarily on symptom reduction as a means to improve functional impairments. Individual Therapy is usually delivered to an individual but may include family or significant support persons when the individual is present, but the focus of work is on the client and not on the family system.
  - e) Group Therapy: Group Therapy are those therapeutic interventions for more than one client that focuses primarily on symptom reduction as a means to improve functional impairments. It may include group family therapy when families of two or more clients are present, and the client is not present.
  - f) Family Therapy: Family Therapy consists of contact with the client and one or more family members and/or significant support persons. Services shall focus on the care and management of the client's mental health conditions within the family system.
- iii. Peer and family support groups to an additional 230 clients per year, with specific time periods and programming devoted exclusively to youth client/consumers, adult/older adult clients/consumers, family members;
- a) Platicas – 100 new clients
  - b) Drumming Circles – 20 new clients
  - c) Madres of Tonantzin – 30 new clients
  - d) Fathers groups – 30 new clients
  - e) Farmworkers – 50 new clients
- iv. Six (6) psycho-education, prevention and recovery focused workshops annually (2 in the fall, 2 in the spring, and 2 in the summer) shall include, but are not limited to the following:
- a) parenting skills,
  - b) recognizing signs/symptoms of mental health crisis,

- c) understanding sexual orientation and gender identity,
  - d) overcoming stress, anxiety, etc.
  - e) Wellness Recovery Action Planning (WRAP),
  - f) mindfulness and other non-traditional practices such as drumming and cultural folk healers, music, arts, dance, etc.
- v. Policies and Procedures
- Contractor will develop policies and procedures regarding service delivery that includes, but not limited to;
- a) Procedures to triage clients that have been determined to need longer term services and are seriously mentally ill clients.
  - b) Procedures to respond to and bridge clients to supports to address any crisis concerns.

c. Community Engagement and Empowerment

Contractor will provide community engagement and empowerment activities designed to build capacity and trust with vulnerable communities in the Coastsides. Services shall include, but not limited to:

- i. Outreach workers (promotores) with shared lived experience with the Coastsides and familiarity with behavioral health resources to conduct outreach and engagement, provide referrals, warm hand-offs, mental health information, and education, collaborate with BHRS staff, and identify community-based entities, health and social service providers and other resources.
- ii. Monthly groups focused on community capacity building, including a youth leadership group that focuses on building leadership, advocacy and facilitating opportunities for the community to have their voices heard in County decision-making spaces (e.g. County-sponsored commissions, committees, initiatives, etc.).
  - a) Contractors shall facilitate
- iii. Promote and facilitate community input into development of Mental Health Services Act (MHSA) funded services and other Behavioral Health program initiatives and decision-making processes.
- iv. Recreational, social and enrichment activities (e.g. foosball/soccer, exercise/dance, arts/crafts, cooking, etc.).

## D. Staffing

1. As the Lead Agency Contractor will hire:
  - a. 0.75 FTE Program Manager to coordinate the services and programming being offered across the Coast and manage the day-to-day operations including but not limited to,
    - i. any safety issues that may arise
    - ii. supervision of staff and staff meetings
    - iii. developing new services
    - iv. coordinating with the substance use provider for MCWP and other partners and stakeholders
    - v. reviewing monthly reports, including performance data and community evaluations
    - vi. supporting evaluation activities as determined by BHRS
  - b. 0.8 FTE Administrative Assistant to support day-to-day administrative needs
  - c. 0.5 FTE Program Tracker/Reporter to support monthly data collection, management and reporting. The position will prepare and present monthly outcome reports and the annual report.
  - d. 0.30 FTE Community Engagement Coordinator to support Lead Agency related community efforts.
2. To provide the Mental Health/Wellness services for the MCWP, Contractor will hire:
  - a. 0.10 FTE Clinical Director to provide oversight and overall coordination of clinical services
  - b. 0.35 FTE Clinical Supervisor, master level, responsible for weekly supervision of clinical staff supporting and ensuring cultural responsiveness, adherence to HIPPA requirements, upholding ethical/legal practices for client care, safety and confidentiality.
  - c. 1.0 FTE Clinician, preferably with a Substance Use Disorder Counselor Certification, to support co-occurring service provision

- d. 0.75 FTE Case Manager
- 3. To support the Community Engagement and Empowerment services of the MCWP, Contractor will hire:
  - a. 0.70 Community Engagement Coordinator will be responsible for all community programming and organizing events, celebrations and community engagement/empowerment efforts.
  - b. 0.25 Outreach Worker to support community collaboration with stakeholders, events, celebrations, programs and the advisory committee for MCWP.

Staff will be primarily Spanish-speaking and reflective of the culture and ethnicity of the community served.

Staff must complete twenty (20) hours of training per calendar year. Training topics will include, but are not limited to, the following:

- a. HIPPA
- b. Cultural Humility, Sexual Orientation and Gender Identity reporting
- c. Wellness and Recovery Action Plan (WRAP)
- d. Mental Health First Aid (MHFA)
- e. Peer support
- f. NAMI family to family
- g. Documentation Training
- h. Emergency Preparedness and CPR/First Aid

#### E. MCWP Program Design

- 1. Programming will be free of cost and/or sliding fee scale for participants. Stipends, refreshments and/or incentives will be provided as needed to encourage participation.
- 2. Service Availability  
The program services will be available in the evening hours of 5:00 – 9:00 pm to support working families. There will also be identified set day/time periods and programming devoted to clients and family members and to youth. Contractor will create monthly program schedules that will meet these needs.
- 3. Privacy  
Contractor's design model will include a protocol for respecting the privacy of the client/consumers.

4. Co-location Of Services
 

The program services will be offered in faith-based organizations, other community-based agencies, schools, housing complexes and other spaces where community exist, feel safe and trust.
  
5. Cultural Responsiveness
 

A safe and supportive environment for youth and adults with mental illness and/or co-occurring challenges and their families will be created through various strategies including, but not limited to:

  - i. Bilingual and bicultural staff, including youth and adult peers, to provide peer-led activities in both Spanish and English;
  - ii. Welcoming, non-judgmental to the Latino community and other multiracial, multicultural and multigenerational communities including the Lesbian, Gay, Bisexual and Transgender, and Questioning (LGBTQ+) community;
  - iii. Use of evidence-based curriculum that are tailored to the strengths and needs of Latinos, such as the National Compadres Network and Keepin' it R.E.A.L curriculum;
  - iv. Intentionally celebrating culture through the center décor and activities provided.

F. Evaluation, Tracking and Reporting

1. Evaluation

Contractor will be evaluated for implementation according to contract terms, whether it is achieving desired impact, satisfaction of services from clients, families, and/or communities, responsiveness to target populations, and success, challenges and areas of improvement.

BHRS will work with Contractor representatives early in the planning process to tailor the evaluation plan and tools as needed. MCWP staff will use data collection forms developed to collect information about individuals engaged, the activities, referral outcomes and demographics.

Contractor will participate and support facilitation of any evaluation activities as determined by BHRS; for example, focus groups and/or key interviews to assess the impact of the wellness program approach.

BHRS will work with Contractor early in the planning process to tailor the evaluation plan and tools (as needed) to include, but not limited

to: screening results and linkages made, demographics of clients served, success stories, satisfaction surveys.

## 2. Monthly Reporting and Data Collection

Data collected will be analyzed by BHRS through an independent contractor.

- a. Contractor will track monthly implementation activities and submit relevant documents as follows:
  - i. MCWP agency collaboration meetings held including participants attended, agenda, and minutes;
  - ii. List of staff trainings offered including participants attended;
  - iii. Annual multicultural event flyer, participants attended and event evaluation;
  - iv. Coastside collaborative and other external meeting participation and input sought;
  - v. Advisory Committee meeting documentation including: participant attendance, meeting agendas and minutes.
- b. Tracking of behavioral health/wellness activities including but not limited to: number of clients receiving services, types of services provided (groups, counseling, workshops, etc.), and linkages/referrals made.
- c. Tracking of community engagement activities, including but not limited to: total number of individuals engaged through outreach activities, types of activities coordinated, capacity building activities (workshops, training, etc.), and recreational activities coordinated.
- d. Tracking of referrals (to BHRS or other) for social service or medical needs. Tracking information will include number of referrals, referring entity, where referrals were made to, and type of referral made. No personal identifying information will be required.
- e. Implementation tracking logs shall be submitted monthly along with invoices as described in Exhibit B to BHRS.

- f. Monthly monitoring check-ins with the BHRS Program Manager will be scheduled to identify challenges and areas of improvement.
  - g. Providers will use an online survey portal(s) provided by BHRS to enter data monthly.
3. Contractor shall complete and submit the year-end Attachment M – MHSA Annual Report Template, due by the fifteenth (15th) of August each fiscal year to the MHSA Manager at [mhsa@smcgov.org](mailto:mhsa@smcgov.org).

## II. ADMINISTRATIVE REQUIREMENTS

### A. Quality Management and Compliance

#### 1. Quality Management Program and Quality Improvement Plan

Contractor must have a Quality Management Program and submit a Quality Improvement Plan to Behavioral Health and Recovery Services (BHRS) Quality Management (QM) annually by June 30. The Quality Improvement Plan should address 1) how the Contractor will comply with all elements of this Agreement, 2) the Contractor will maintain an audit disallowance rate of less than five percent (5%), and 3) first appointment will be within fourteen (14) days of referral or request of service. BHRS QM will provide feedback if the submitted plan is missing critical components related to San Mateo County requirements. Additional feedback may be available if requested prior to the submission date.

#### 2. Referring Individuals to Psychiatrist

Contractor will have written procedures for referring individuals to a psychiatrist or physician when necessary, if a psychiatrist is not available.

#### 3. Record Retention

Paragraph 14 of the Agreement notwithstanding, Contractor shall maintain medical records required by the California Code of Regulations. Notwithstanding the foregoing, Contractor shall maintain beneficiary medical and/or clinical records for a period of ten (10) years, except the records of persons under age eighteen (18) at the time of treatment shall be maintained: a) until ten (10) years beyond the person's eighteenth (18th) birthday or b) for a period of ten (10) years beyond the date of discharge, whichever is later. This rule does not supersede professional standards.

Contractor may maintain records for a longer period of time if required by other regulations or licenses.

4. Documentation of Services

Contractor shall provide all pertinent documentation required for state and federal reimbursement including but not limited to Consent Forms, assessments, treatment plans, and progress notes. Contractor agencies must submit, via fax to Quality Management at 650-525-1762, their version of these forms for approval before the forms are to be used. Special attention must be paid to documentation requirements for residential treatment facilities. Documentation shall be completed in compliance with the BHRS Policies & Documentation Manuals (as defined in Paragraph II. of this Exhibit). Contractor agencies are required to provide and maintain record of regular documentation training to staff providing direct services. Proof of trainings including attendance by staff may be requested at any time during the term of this Agreement.

System of Care (SOC) Mental Health Providers shall document in accordance with the BHRS Mental Health & AOD Documentation Manual located online at:

<https://www.smchealth.org/sites/main/files/file-attachments/bhrsdocmanual.pdf>

SOC contractor will utilize either documentation forms located on <http://smchealth.org/SOCMHContractors> or contractor's own forms that have been pre-approved.

5. Audits

Behavioral Health and Recovery Services QM will conduct regular chart audits of Contractors. Contractor is required to provide either the original or copies of charts, including all documentation upon request. The Department of Health Care Services and other regulatory agencies conduct regular audits of the clinical services provided by BHRS and Contractors requiring submission of charts as requested. Contractor is required to provide all necessary documentation for external audits and reviews within the stated timeline.

6. Client Rights and Satisfaction Surveys

a. Administering Satisfaction Surveys

Contractor agrees to administer/utilize any and all survey instruments as directed by BHRS, including outcomes and satisfaction measurement instruments.

b. Beneficiary/Patient's Rights

Contractor will comply with County policies and procedures relating to beneficiary/patient's rights and responsibilities as referenced in the Agreement.

c. Advance Directives

Contractor will comply with County policies and procedures relating to advance directives.

7. Compliance with HIPAA, Confidentiality Laws, and PHI Security

a. Contractor must implement administrative, physical, and technical safeguards that reasonably and appropriately protect the confidentiality, integrity, and availability of Protected Health Information (PHI), including electronic PHI that it creates, receives, maintains, uses or transmits, in compliance with 45 C.F.R and to prevent use or disclosure of PHI other than as provided for by this Agreement. Contractor shall implement reasonable and appropriate policies and procedures to comply with the standards. Contractor is required to report any security incident or breach of confidential PHI to BHRS Quality Management within twenty-four (24) hours.

b. Contractor will develop and maintain a written Privacy and Security Program that includes administrative, technical and physical safeguards appropriate to the size and complexity of the Contractor's operations and the nature and scope of its activities.

c. Contractor agrees to comply with the provisions of 42 C.F.R. Part 2 as described below if records contain or contract possesses any PHI covered under 42 C.F.R Part 2:

- 1) Acknowledge that in receiving, storing, processing, or otherwise using any information from BHRS about the clients in the program, it is fully bound by the provisions of the federal regulations governing Confidentiality of Behavioral Health and Recovery Services Patient Records, 42 C.F.R. Part 2;

- 2) Undertake to resist in judicial proceedings any effort to obtain access to information pertaining to clients otherwise than as expressly provided for in the federal confidentiality regulations, 42 C.F.R. Part 2; and
- 3) Agree to use appropriate safeguards to prevent the unauthorized use or disclosure of the protected information.

d. Confidentiality Training

Contractor is required to conduct, complete and maintain record of annual confidentiality training by all staff serving or accessing PHI of BHRS clients. Contractor may utilize BHRS Confidentiality trainings located at <http://smchealth.org/bhrs/providers/ontrain>.

8. Site Certification

- a. Contractor will comply with all site certification requirements. Contractor shall maintain all applicable certifications through San Mateo County to provide any of the following reimbursable services: Short-Doyle Medi-Cal, MediCal, Medicare, or Drug MediCal.
- b. Contractor is required to inform BHRS Quality Management, in advance, of the following major changes:
  - 1) Major leadership or staffing changes.
  - 2) Major organizational and/or corporate structure changes (example: conversion to non-profit status).
  - 3) Any changes in the types of services being provided at that location; day treatment or medication support services when medications are administered or dispensed from the provider site.
  - 4) Significant changes in the physical plant of the provider site (some physical plant changes could require a new fire or zoning clearance).
  - 5) Change of ownership or location.
  - 6) Complaints regarding the provider.

9. Critical Incident Reporting

Contractor is required to submit Critical Incident reports to BHRS Quality Management (via fax # 650-525-1762) when there are unusual events, accidents, errors, violence or significant injuries requiring medical treatment for clients, staff or members of the

community. (Policy #93-11 and 45 C.F.R. § 164, subpart C, in compliance with 45 C.F.R. § 164.316.)

The incident reports are confidential however discussion may occur with the Contractor regarding future prevention efforts to reduce the likelihood of recurrence. Contractor is required to participate in all activities related to the resolution of critical incidents.

#### 10. Ineligible Employees

Behavioral Health and Recovery Services (BHRS) requires that contractors comply with Federal requirements as outlined in 42 CFR (438.608) Managed Care Regulations. Contractors must identify the eligibility of employees, interns, or volunteers prior to hiring and on a monthly basis thereafter. Results of the eligibility screenings are to be maintained in the employee files. This process is meant to ensure that any person delivering services to clients of BHRS are not currently excluded, suspended, debarred or have been convicted of a criminal offense as described below. The Contractor must notify BHRS Quality Management (by completing the BHRS Critical Incident Reporting Form, Policy#93-11) should a current employee, intern, or volunteer be identified as ineligible. Contractors are required to screen for ineligible employees, interns, and volunteers by following procedures included in BHRS Policy # 19-08, which can be found online at: [https://www.smchealth.org/sites/main/files/file-attachments/19-08\\_credentialing\\_re-credentialing\\_tech\\_edit\\_1-9-20\\_sig\\_on\\_file\\_pdf\\_web.pdf?1578608441](https://www.smchealth.org/sites/main/files/file-attachments/19-08_credentialing_re-credentialing_tech_edit_1-9-20_sig_on_file_pdf_web.pdf?1578608441). BHRS Quality Management must be notified within twenty-four (24) hours of any violations. Contractor must notify BHRS Quality Management if an employee's license is not current or is not in good standing and must submit a plan to correct to address the matter.

##### a. Credentialing Check – Initial

During the initial contract process, BHRS will send a packet of contract documents that are to be completed by the Contractor and returned to BHRS. Attachment A – Agency/Group Credentialing Information will be included in the contract packet. Contractor must complete Attachment A and return it along with all other contract forms.

##### b. Credentialing Check – Monthly

Contractor will complete Attachment A – Agency/Group Credentialing Information each month and submit the completed form to BHRS Quality Management via email at: [HS\\_BHRS\\_QM@smcgov.org](mailto:HS_BHRS_QM@smcgov.org) or via a secure electronic format.

11. Compliance Plan and Code of Conduct

Contractor will annually read and be knowledgeable of the compliance principles contained in the BHRS Compliance Plan and Code of Conduct located at <http://smchealth.org/bhrs-documents>. In addition, Contractor will assure that Contractor's workforce is aware of compliance mandates and informed of the existence and use of the BHRS Compliance Improvement Hotline (650) 573-2695.

Contractor is required to conduct, complete and maintain record of annual compliance training by all staff serving or accessing PHI of BHRS clients. Contractor may utilize BHRS Confidentiality trainings located at <http://smchealth.org/bhrs/providers/ontrain>.

12. Fingerprint Compliance

Contractor certifies that its employees, trainees, and/or its subcontractors, assignees, volunteers, and any other persons who provide services under this agreement, who have direct contact with any client will be fingerprinted in order to determine whether they have a criminal history which would compromise the safety of individuals with whom the Contractor's employees, trainees and/or its subcontractors, assignees, or volunteers have contact. Contractor shall have a screening process in place to ensure that employees who have positive fingerprints shall:

1. Adhere to CCR Title 9 Section 13060 (Code of Conduct) when providing services to individuals with whom they have contact as a part of their employment with the contractor; OR
2. Obtain an exemption from Community Care Licensing allowing the employee to provide services to individuals with whom they have contact as a part of their employment with the contractor.

A certificate of fingerprinting certification is attached hereto and incorporated by reference herein as Attachment E.

13. Staff Termination

Contractor shall inform BHRS, in a timely fashion, when staff have been terminated. BHRS Quality Management requires prompt notification to be able to terminate computer access and to safeguard access to electronic medical records by completing the BHRS Credentialing form.

#### 14. Minimum Staffing Requirements

Contractor shall have on file job descriptions (including minimum qualifications for employment and duties performed) for all personnel whose salaries, wages, and benefits are reimbursable in whole or in part under this Agreement. Contractor agrees to submit any material changes in such duties or minimum qualifications to County prior to implementing such changes or employing persons who do not meet the minimum qualifications currently on file. Contractor service personnel shall be direct employees, contractors, volunteers, or training status persons.

#### B. Cultural Competency

Implementations of these guidelines are based on the National Culturally and Linguistically Accessible Services (CLAS) Standards issued by the Department of Health and Human Services. For more information about these standards, please contact the Office of Diversity & Equity (ODE) at 650- 573-2714 or [ode@smcgov.org](mailto:ode@smcgov.org).

1. Contractor will submit an annual cultural competence plan that details on-going and future efforts to address the diverse needs of clients, families and the workforce. This plan will be submitted to the BHR Analyst/Program Manager and the Office of Diversity & Equity (ODE) by September 1st of the fiscal year.

The annual cultural competence plan will include, but is not limited to the following:

- a. Implementation of policies and practices that are related to promoting diversity and cultural competence, such as ongoing organizational assessments on disparities and needs, client's rights to receive language assistance.
- b. Contractor forum for discussing relevant and appropriate cultural competence-related issues (such as a cultural competence committee, grievance, or conflict resolution committee).
- c. Ongoing collection of client cultural demographic information, including race, ethnicity, primary language, gender and sexual orientation in health records to improve service provision and help in planning and implementing CLAS standards.

- d. Staffing objectives that reflect the cultural and linguistic diversity of the clients. (Contractor will recruit, hire and retain clinical staff members who can provide services in a culturally and linguistically appropriate manner.)
  - e. Contractor will ensure that all program staff receive at least 8 hours of external training per year (i.e. sponsored by BHRS or other agencies) on how to provide culturally and linguistically appropriate services including the CLAS and use of interpreters.
2. Contractor will actively participate in at least one cultural competence effort within BHRS and/or to send a representative to attend a Health Equity Initiative (HEI), including but not limited to the Diversity & Equity Council (DEC), for the term of the Agreement. Participation in an HEI/DEC allows for the dissemination of CLAS as well as ongoing collaborations with diverse stakeholders. Contractor shall submit to BHRS Office of Diversity and Equity (ODE) by March 31st, a list of staff who have participated in these efforts. For more information about the HEI/DEC, and other cultural competence efforts within BHRS, contact ODE or visit <https://www.smchealth.org/health-equity-initiatives>.
  3. Contractor will establish the appropriate infrastructure to provide services in County identified threshold languages. Currently the threshold languages are: Spanish, Tagalog and Chinese (Mandarin and Cantonese). If Contractor is unable to provide services in those languages, Contractor is expected to contact their BHRS Analyst/Program Manager for consultation. If additional language resources are needed, please contact ODE.
  4. Contractor will translate relevant and appropriate behavioral health-related materials (such as forms, signage, etc.) in County identified threshold languages in a culturally and linguistically appropriate manner. BHRS strongly encourages its contractors to use BHRS-sponsored forms in an effort to create uniformity within the system of care. Contractor shall submit to ODE by March 31st, copies of Contractor's health-related materials in English and as translated.
  5. Should Contractor be unable to comply with the cultural competence requirements, Contractor will meet with the BHRS Analyst/Program Manager and ODE ([ode@smcgov.org](mailto:ode@smcgov.org)) to plan for appropriate technical assistance.

C. Availability and Accessibility of Service

Contractor shall offer hours of operation that are no less than the hours of operation offered to commercial enrollees, if the Contractor also serves enrollees of a commercial plan, or that are comparable to the hours the Contractor makes available for Medi-Cal services that are not covered by the County or another Mental Health Plan, if the Contractor serves only Medi-Cal clients.

### III. GOALS AND OBJECTIVES

Contractor shall ensure that the following outcome objectives are pursued throughout the term of this Agreement:

Goal: To enhance clients' satisfaction with the services provided.

Objective 1: At least ninety percent (90%) of customer survey respondents will rate services as good or better.

Objective 2: At least ninety percent (90%) of customer survey respondents will rate access to mental health services as good or better.

Objective 3: At least 470 new clients served through short-term mental health services and case management (240 new clients) and/or support groups (230 new clients)

Data collection to be completed by the Contractor and in cooperation with BHRS independent evaluator.

\*\*\* END OF EXHIBIT A \*\*\*

EXHIBIT B – PAYMENTS AND RATES  
ALAS: AYUDANDO LATINOS A SOÑAR  
FY 2020 – 2022

In consideration of the services provided by Contractor in Exhibit A, County shall pay Contractor based on the following fee schedule:

I. PAYMENTS

In full consideration of the services provided by Contractor under this Agreement and subject to the provisions of Paragraph 3 of this Agreement, County shall pay Contractor in the manner described below:

A. Maximum Obligation

The maximum amount that County shall be obligated to pay for all services provided under this Agreement shall not exceed the amount stated in Paragraph 3 of this Agreement. Furthermore, County shall not pay or be obligated to pay more than the amounts listed below for each component of service required under this Agreement.

In any event, the maximum amount county shall be obligated to pay for all services rendered under this contract shall not exceed SEVEN HUNDRED EIGHTY-NINE THOUSAND FIVE HUNDRED THIRTY-EIGHT DOLLARS (\$789,538).

B. Lead Agency

Contractor shall be reimbursed up to a total of THREE HUNDRED NINETY-NINE THOUSAND FIVE HUNDRED SIXTY-SIX DOLLARS (\$399,566) as the Lead Agency as described in Paragraph I.B.1.a. of Exhibit A, for the term of this agreement.

1. For the term July 1, 2020 through June 30, 2021, County shall pay Contractor up to a maximum of ONE HUNDRED NINETY-NINE THOUSAND SEVEN HUNDRED EIGHTY-THREE DOLLARS (\$199,783).
  - a. Contractor shall submit monthly invoices for reimbursement, subject to approval by the BHRS Manager, which will include an itemized list of services provided as per the attached budget and tracking logs as described in Paragraph F.2. of Exhibit A.
  - b. Payments shall be made for actual costs and shall be paid monthly following receipt of invoice by Contractor.

2. For the term July 1, 2021 through June 30, 2022, County shall pay Contractor up to a maximum of ONE HUNDRED NINETY-NINE THOUSAND SEVEN HUNDRED EIGHTY-THREE DOLLARS (\$199,783).

a. Contractor shall submit monthly invoices for reimbursement, subject to approval by the BHRS Manager, which will include an itemized list of services provided as per the attached budget and tracking logs as described in Paragraph F.2. of Exhibit A.

b. Payments shall be made for actual costs and shall be paid monthly following receipt of invoice by Contractor.

C. Behavioral Health/Wellness Services

Contractor shall be reimbursed up to a total of THREE HUNDRED NINE THOUSAND NINE HUNDRED NINETY-SIX DOLLARS (\$309,996) for Behavioral Health/Wellness Services as described in Paragraph I. B.1.b. of Exhibit A, for the term of this agreement

1. For the term July 1, 2020 through June 30, 2021, County shall pay Contractor up to a maximum of ONE HUNDRED FIFTY-FOUR THOUSAND NINE HUNDRED NINETY-EIGHT DOLLARS (\$154,998).

a. Contractor shall submit monthly invoices for reimbursement, subject to approval by the BHRS Manager, which will include an itemized list of services provided as per the attached budget, tracking logs as described in Paragraph F.2. of Exhibit A. and the following documentation:

i. All required documentation adhering to Medi-Cal guidelines,

ii. Documentation for each minute of service, and

iii. Documentation relating to each appropriate authorization.

b. Mental Health Services described in Paragraph I.B.1.b.ii. of Exhibit A, shall be paid by the County at the rate of THREE DOLLARS AND TWENTY-EIGHT CENTS (\$3.28) per minute. County shall pay such rate less any third-party paymerits.

c. Payment shall be made on a monthly basis upon County's receipt of invoice and supporting documents.

d. Payments shall be made for actual costs and shall be paid monthly following receipt of invoice by Contractor.

2. For the term July 1, 2021 through June 30, 2022, County shall pay Contractor up to a maximum of ONE HUNDRED FIFTY-FOUR THOUSAND NINE HUNDRED NINETY-EIGHT DOLLARS (\$154,998).
  - a. Contractor shall submit monthly invoices for reimbursement, subject to approval by the BHRS Manager, which will include an itemized list of services provided as per the attached budget, tracking logs as described in Paragraph F.2. of Exhibit A. and the following documentation:
    - i. All required documentation adhering to Medi-Cal guidelines,
    - ii. Documentation for each minute of service, and
    - iii. Documentation relating to each appropriate authorization.
  - b. Mental Health Services described in Paragraph I.B.1.b.ii. of Exhibit A, shall be paid by the County at the rate of THREE DOLLARS AND TWENTY-EIGHT CENTS (\$3.28) per minute. County shall pay such rate less any third-party payments.
  - c. Payment shall be made on a monthly basis upon County's receipt of invoice and supporting documents.
  - d. Payments shall be made for actual costs and shall be paid monthly following receipt of invoice by Contractor.

D. Community Engagement and Empowerment

Contractor shall be reimbursed up to a total of SEVENTY-NINE THOUSAND NINE HUNDRED SEVENTY-SIX DOLLARS (\$79,976) for Community Engagement and Empowerment Services as described in Paragraph B.1.c. of Exhibit A, for the term of this agreement

1. For the term July 1, 2020 through June 30, 2021, County shall pay Contractor up to a maximum of THIRTY-NINE THOUSAND NINE HUNDRED EIGHTY-EIGHT DOLLARS (\$39,988).
  - a. Contractor shall submit monthly invoices for reimbursement, subject to approval by the BHRS Manager, which will include an itemized list of services provided as per the attached budget and tracking logs as described in Paragraph F.2. of Exhibit A.
  - b. Payments shall be made for actual costs and shall be paid monthly following receipt of invoice by Contractor.

2. For the term July 1, 2021 through June 30, 2022, County shall pay Contractor up to a maximum of THIRTY-NINE THOUSAND NINE HUNDRED EIGHTY-EIGHT DOLLARS (\$39,988).
  - a. Contractor shall submit monthly invoices for reimbursement, subject to approval by the BHRS Manager, which will include an itemized list of services provided as per the attached budget and tracking logs as described in Paragraph F.2. of Exhibit A.
  - b. Payments shall be made for actual costs and shall be paid monthly following receipt of invoice by Contractor.
- E. Contractor's annual FY 2020-21 budget is attached and incorporated into this Agreement as Exhibit C.
- F. Contractor will be responsible for all expenses incurred during the performance of services rendered under this Agreement.
- G. Modifications to the allocations in Paragraph A of this Exhibit B may be approved by the Chief of San Mateo County Health or designee, subject to the maximum amount set forth in Paragraph 3 of this Agreement.
- H. The Chief of San Mateo County Health or designee is authorized to execute contract amendments which modify the County's maximum fiscal obligation by no more than \$25,000 (in aggregate), and/or modify the contract term and/or services so long as the modified term or services is/are within the current or revised fiscal provisions.
- I. In the event that funds provided under this Agreement are expended prior to the end of the contract period, Contractor shall provide ongoing services under the terms of this Agreement through the end of the contract period without further payment from County.
- J. In the event this Agreement is terminated prior to June 30, 2022, Contractor shall be paid on a prorated basis for only that portion of the contract term during which Contractor provided services pursuant to this Agreement. Such billing shall be subject to the approval of the Chief of San Mateo County Health or designee.
- K. Disallowances that are attributable to an error or omission on the part of County shall be the responsibility of County. This shall include but not be limited to quality assurance (QA) audit disallowances as a result of QA Plan error or format problems with County-designed service documents.

L. The contracting parties shall be subject to the examination and audit of the Department of Auditor General for a period of three years after final payment under contract (Government Code, Section 8546.7).

M. Monthly Invoice and Payment

1. Contractor shall bill County on or before the tenth (10th) working day of each month following the provision of services for the prior month. The invoice shall clearly summarize direct and indirect services (if applicable) for which claim is made.

a. Direct Services/Claims

Completed Service Reporting Forms or an electronic services file will accompany the invoice and provide back-up detail for the invoiced services. The Service Reporting Forms will be provided by County, or be in a County approved format, and will be completed by Contractor according to the instructions accompanying the Service Reporting Forms. County reserves the right to change the Service Report Forms, instructions, and/or require the Contractor to modify their description of services as the County deems necessary. The electronic services file shall be in the County approved Avatar record format.

b. Indirect Services/Claims

Indirect services (services that are not claimable on the Service Reporting Form or electronically) shall be claimed on the invoice and shall be billed according to the guidelines specified in the contract.

2. Payment by County to Contractor shall be monthly. Claims that are received after the tenth (10th) working day of the month are considered to be late submissions and may be subject to a delay in payment. Claims that are received 180 days or more after the date of service are considered to be late claims. County reserves the right to deny invoices with late claims or claims for which completed service reporting forms or electronic service files are not received. Claims may be sent to:

County of San Mateo  
Behavioral Health and Recovery Services  
Attn: Contract Unit  
2000 Alameda de las Pulgas, Suite 280  
San Mateo, CA 94403

N. County anticipates revenues from various sources to be used to fund services provided by Contractor through this Agreement. Should actual revenues be less than the amounts anticipated for any period of this Agreement, the maximum payment obligation and/or payment obligations for specific services may be reduced at the discretion of the Chief of San Mateo County Health or designee.

O. In the event Contractor claims or receives payment from County for a service, reimbursement for which is later disallowed by County or the State of California or the United States Government, then Contractor shall promptly refund the disallowed amount to County upon request, or, at its option, County may offset the amount disallowed from any payment due or become due to Contractor under this Agreement or any other agreement.

P. Contractor shall provide all pertinent documentation required for Medi-Cal, Medicare, and any other federal and state regulation applicable to reimbursement including assessment and service plans, and progress notes. The County may withhold payment for any and all services for which the required documentation is not provided, or if the documentation provided does not meet professional standards as determined by the Quality Improvement Manager of San Mateo County BHRS.

Q. Inadequate Performance

If County or Contractor finds that performance is inadequate, at the County's discretion, a meeting may be called to discuss the causes for the performance problem, to review documentation, billing and/or other reports, and to take appropriate corrective action, as needed, to resolve any identified discrepancies. This Agreement may be renegotiated, allowed to continue to end of term, or terminated pursuant to Paragraph 5 of this Agreement. Any unspent monies due to performance failure may reduce the following year's agreement, if any.

R. Election of Third Party Billing Process

Contractor shall select an option for participating in serial billing of third-party payors for services provided through this Agreement through the completion of Attachment C – Election of Third Party Billing Process. The completed Attachment C shall be returned to the County with the signed Agreement. Based upon the option selected by the Contractor the appropriate following language shall be in effect for this Agreement.

1. Option One

a. Contractor shall bill all eligible third-party payors financially responsible for a beneficiary's health care services that Contractor provides through this Agreement. Within ten (10)

days of the end of each month, Contractor shall provide to County copies of the Explanation of Benefits or other remittance advice for every third-party payment and/or denial of such third-party payments for services provided by Contractor during such month. The amount of any such third-party payment shall be deducted from the total actual costs for all services rendered by Contractor as reflected on the Cost Report as defined in Paragraph R of this Exhibit B. County accepts no financial responsibility for services provided to beneficiaries where there is a responsible third-party payor, and to the extent that County inadvertently makes payments to Contractor for such services rendered, County shall be entitled to recoup such reimbursement, through the Cost Report reconciliation.

- b. Contractor shall provide a copy of each completed Payor Financial Form (Attachment D) and subsequent annual updates for all clients who receive services through this Agreement. For clients who begin to receive services during the term of this Agreement, completed Payor Financial Forms shall be provided to the County with client registration forms. For clients who were receiving services prior to the start date of this Agreement and who continue to receive services through this Agreement, completed Payor Financial Forms are due within ten (10) days of the end of the first month of the Agreement.

2. Option Two

- a. Contractor shall provide information to County so that County may bill applicable other third-parties before billing Medi-Cal for services provided by Contractor through this Agreement. The amount of any such third-party payment shall be deducted from the total actual costs for all services rendered by Contractor as reflected on the Cost Report as defined in Paragraph R of this Exhibit B. County accepts no financial responsibility for services provided to beneficiaries where there is a responsible third party payor, and to the extent that County inadvertently makes payments to Contractor for such services rendered, County shall be entitled to recoup such reimbursement through the Cost Report reconciliation.
- b. Contractor shall provide a copy of the completed Payor Financial Form (Attachment D) and subsequent annual updates for all clients who receive services through this agreement. For clients who begin to receive services during the term of this Agreement, completed Payor Financial Forms

shall be provided to the County with client registration forms. For clients who were receiving services prior to the start date of this Agreement and who continue to receive services through this Agreement, completed Payor Financial Forms are due within ten (10) days of the end of the first month of the Agreement.

S. Beneficiary Billing

Contractor shall not submit a claim to, demand or otherwise collect reimbursement from, the beneficiary or persons acting on behalf of the beneficiary for any specialty mental health or related administrative services provided under this contract except to collect other health insurance coverage, share of cost and co-payments. The Contractor shall not hold beneficiaries liable for debts in the event that the County becomes insolvent, for costs of covered services for which the State does not pay the County, for costs of covered services for which the State or the County does not pay the Contractor, for costs of covered services provided under this or other contracts, referral or other arrangement rather than from the County, or for payment of subsequent screening and treatment needed to diagnose the specific condition of or stabilize a beneficiary with an emergency psychiatric condition.

T. Claims Certification and Program Integrity

1. Contractor shall comply with all state and federal statutory and regulatory requirements for certification of claims, including Title 42, Code of Federal Regulations (CFR) Part 438, Sections 438.604, 438.606, and, as effective August 13, 2003, Section 438.608, as published in the June 14, 2002 Federal Register (Vol. 67, No. 115, Page 41112), which are hereby incorporated by reference.
2. Anytime Contractor submits a claim to the County for reimbursement for services provided under Exhibit A of this Agreement, Contractor shall certify by signature that the claim is true and accurate by stating the claim is submitted under the penalty of perjury under the laws of the State of California.

The claim must include the following language and signature line at the bottom of the form(s) and/or cover letter used to report the claim.

“Under the penalty of perjury under the laws of the State of California, I hereby certify that this claim for services complies with all terms and conditions referenced in the Agreement with San Mateo County.

Executed at \_\_\_\_\_ California, on \_\_\_\_\_ 20\_\_

Signed \_\_\_\_\_ Title \_\_\_\_\_

Agency \_\_\_\_\_”

3. The certification shall attest to the following for each beneficiary with services included in the claim:
  - a. An assessment of the beneficiary was conducted in compliance with the requirements established in this agreement.
  - b. The beneficiary was eligible to receive services described in Exhibit A of this Agreement at the time the services were provided to the beneficiary.
  - c. The services included in the claim were actually provided to the beneficiary.
  - d. Medical necessity was established for the beneficiary as defined under California Code of Regulations, Title 9, Division 1, Chapter 11, for the service or services provided, for the timeframe in which the services were provided.
  - e. A client plan was developed and maintained for the beneficiary that met all client plan requirements established in this agreement.
  - f. For each beneficiary with specialty mental health services included in the claim, all requirements for Contractor payment authorization for specialty mental health services were met, and any reviews for such service or services were conducted prior to the initial authorization and any re-authorization periods as established in this agreement.
  - g. Services are offered and provided without discrimination based on race, religion, color, national or ethnic origin, sex, age, or physical or mental disability.
4. Except as provided in Paragraph V.A. of Exhibit A relative to medical records, Contractor agrees to keep for a minimum period of three years from the date of service a printed representation of all records which are necessary to disclose fully the extent of services furnished to the client. Contractor agrees to furnish these records and any information regarding payments claimed for providing the services, on request, within the State of California, to the California Department of Health Services; the Medi-Cal Fraud Unit; California Department of Mental Health; California Department of Justice;

Office of the State Controller; U.S. Department of Health and Human Services, Managed Risk Medical Insurance Board or their duly authorized representatives, and/or the County.

\*\*\* END OF EXHIBIT B \*\*\*

EXHIBIT C - ALAS FY 2020-22

	A	B	C	D	E
1	Lead Agency Component	Salary	% Time	Year 1	Year 2
2			or Rate		
3	<b>A. Expenditures</b>				
4	<b>1. Personnel Expenditures</b>				
5	1a. Employee Salary				
6	Program Manager	\$ 50,000	0.75	\$ 37,500	\$ 37,500
7	Program Admin. Asst.	\$ 40,000	0.80	\$ 32,000	\$ 32,000
8	Program Tracker/Reporter	\$ 30,000	0.50	\$ 15,000	\$ 15,000
9	Community Engagement Coordinator	\$ 40,000	0.30	\$ 12,000	\$ 12,000
10					\$ -
11	1b. Subtotal of all salaries			\$ 96,500	\$ 96,500
12					\$ -
13	b-ii Benefits, FICA and WC		15%	\$ 14,475	\$ 14,475
14	b-ii Benefits, Health Ins.			\$ 10,320	\$ 10,320
15					\$ -
16	<b>d. SUBTOTAL PERSONAL EXPS</b>			<b>\$ 121,295</b>	<b>\$ 121,295</b>
17					\$ -
18	<b>2. Operating Expenditures</b>				\$ -
19	a. Rent			\$ 8,765	\$ 8,765
20	b. Utilities			Included in Rent	
21	c. Telephone/s, Fax			\$ 960	\$ 960
22	d. Internet			\$ 3,360	\$ 3,360
23	f. Administrative Expense				\$ -
24	f-i General Office Supplies			\$ 720	\$ 720
25	f-ii Janitorial			\$ 1,920	\$ 1,920
26	f-iii Bookkeeping/Acctng			\$ 3,240	\$ 3,240
27	f-iv Staff Development			\$ 4,000	\$ 4,000
28	f-v Insurance			\$ 450	\$ 450
29	f-vi Equip. Maintenance				\$ -
30	f-vii Printing/Duplicating			\$ 1,800	\$ 1,800
31	f-viii Legal Services			\$ 3,000	\$ 3,000
32	f-ix Workshops/Supplies			\$ 1,600	\$ 1,600
33	f-x Annual Multicult. Event			\$ 2,600	\$ 2,600
34	f-xi Transportation by subcontractor			\$ 11,648	\$ 11,648
35	f-xii CAC Non-Staff Stipends			\$ 2,160	\$ 2,160
36	f-xiii Mileage for Staff Travel			\$ 4,040	\$ 4,040
37	f-xiv Capacity-Building Projects			\$ 28,225	\$ 28,225
38					
39					\$ -
40	<b>g. SUBTOTAL OPERATING EXPS</b>			<b>\$ 78,488</b>	<b>\$ 78,488</b>
41					\$ -
42	<b>D. TOTAL PROPOSED OPERATIONAL BUDGET</b>			<b>\$ 199,783</b>	<b>\$ 199,783</b>

	A	B	C	D	E
1	Services Component	Salary	% Time	Year 1	Year 2
2			or Rate		
3	<b>A. Expenditures</b>				
4	<b>1. Personnel Expenditures</b>				
5	1a. Employee Salary				
6	Clinical Director	\$ 95,000	0.10	\$ 9,500	\$ 9,500
7	Clinical Supervisor	\$ 50,000	0.35	\$ 17,500	\$ 17,500
8	Full-Time Clinician	\$ 50,000	1.00	\$ 50,000	\$ 50,000
9	Community Case Manager	\$ 45,000	0.75	\$ 33,750	\$ 33,750
10					
11					\$ -
12	1b. Subtotal of all salaries			\$ 110,750	\$ 110,750
13					\$ -
14	b-ii Benefits, FICA and WC		15%	\$ 16,613	\$ 16,613
15	b-ii Benefits, Health Ins.			\$ 10,320	\$ 10,320
16					\$ -
17	<b>d. SUBTOTAL PERSONAL EXPS</b>			<b>\$ 137,683</b>	<b>\$ 137,683</b>
18					\$ -
19	<b>2. Operating Expenditures</b>				\$ -
20	a. Rent			\$ 8,265	\$ 8,265
21	b. Utilities			Included in Rent	
22	c. Telephone/s, Fax			\$ 960	\$ 960
23	d. Internet				\$ -
24	f. Administrative Expense				\$ -
25	f-i General Office Supplies			\$ 960	\$ 960
26	f-ii Janitorial			\$ 2,880	\$ 2,880
27	f-iii Bookeeping/Acctng			\$ -	\$ -
28	f-iv Staff Development				\$ -
29	f-v Insurance			\$ 450	\$ 450
30	f-vi Equip. Maintenance				\$ -
31	f-vii Printing/Duplicating			\$ 1,800	\$ 1,800
32	f-viii Legal Services			\$ -	\$ -
33	f-ix Wkshop Particpnt Pmts			\$ 2,000	\$ 2,000
34	f-x Annual Multicult. Event			\$ -	\$ -
35	f-xi Stipends-Clinical Trainees				\$ -
36	f-xii Session Refreshments			\$ -	\$ -
37					\$ -
38					\$ -
39	<b>g. SUBTOTAL OPERATING EXPS</b>			<b>\$ 17,315</b>	<b>\$ 17,315</b>
40					\$ -
41	<b>D. TOTAL PROPOSED OPERATIONAL BUDGET</b>			<b>\$ 154,998</b>	<b>\$ 154,998</b>

Community Engagement Component	Salary	% Time or Rate	Year 1	Year 2
<b>A. Expenditures</b>				
<b>1. Personnel Expenditures</b>				
1a. Employee Salary				
Cmty Engagement Coordtr	\$ 40,000	0.70	\$ 28,000	\$ 28,000
Outreach Worker	\$ 25,000	0.25	\$ 6,250	\$ 6,250
				\$ -
				\$ -
1b. Subtotal of all salaries			\$ 34,250	\$ 34,250
				\$ -
b-ii Benefits, FICA and WC		15%	\$ 5,138	\$ 5,138
b-ii Benefits, Health Ins.				\$ -
				\$ -
<b>d. SUBTOTAL PERSONAL EXPS</b>			<b>\$ 39,388</b>	<b>\$ 39,388</b>
				\$ -
<b>2. Operating Expenditures</b>				\$ -
a. Rent			\$ -	\$ -
b. Utilities				\$ -
c. Telephone/s, Fax			\$ -	\$ -
d. Internet			\$ -	\$ -
f. Administrative Expense				\$ -
f-i General Office Supplies			\$ -	\$ -
f-ii Janitorial			\$ -	\$ -
f-iii Bookeeping/Acctng			\$ -	\$ -
f-iv Staff Development				\$ -
f-v Insurance			\$ -	\$ -
f-vi Equip. Maintenance			\$ -	\$ -
f-vii Printing/Duplicating			\$ 600	\$ 600
f-viii Legal Svcs.			\$ -	\$ -
f-ix Workshops/Supplies			\$ -	\$ -
f-x Mileage for Staff Travel				\$ -
				\$ -
				\$ -
				\$ -
<b>g. SUBTOTAL OPERATING EXPS</b>			<b>\$ 600</b>	<b>\$ 600</b>
				\$ -
<b>D. TOTAL PROPOSED OPERATIONAL BUDGET</b>			<b>\$ 39,988</b>	<b>\$ 39,988</b>

	A	B	C	D
1	<b>Three Components vs. Budget</b>			
2				
3				
4				
5				
6	<b>Component</b>	<b>Bid</b>	<b>Budget</b>	<b>Variance</b>
7				
8	Lead Agency	199,783	200,000	(217)
9	Services	154,998	155,000	(3)
10	Community Engagement	39,988	40,000	(13)
11				-
12	<b>TOTAL</b>	<b>394,768</b>	<b>395,000</b>	<b>(232)</b>

**Attachment C**  
**Election of Third Party Billing Process**

Effective July 1, 2005, San Mateo County Behavioral Health and Recovery Services (SMCBHRS) will be required to bill all other insurance (including Medicare) before billing Medi-Cal for beneficiaries who have other coverage in addition to Medi-Cal. This is called "serial billing." All claims sent to Medi-Cal without evidence of other insurance having been billed first will be denied.

In order to comply with the serial billing requirement, you must elect which of the two following options to use in our contract with you. In either case, you will need to establish the eligibility of your clients through the completion of the standard form (Payor Financial Form) used to collect this information. Please select and complete one of the two options below:

Option One

Our agency will bill other insurance and provide SMCBHRS with a copy of the Explanation of Benefits provided by that insurance plan before billing SMCBHRS for the remainder.

We ALAS: Ayudando Latinos A Soñar elect option one.

\_\_\_\_\_  
Signature of authorized agent

\_\_\_\_\_  
Name of authorized agent

\_\_\_\_\_  
Telephone number

Option Two

Our agency will provide information to San Mateo County Behavioral Health and Recovery Services (SMCBHRS) so that SMCBHRS may bill other insurance before billing Medi-Cal on our agency's behalf. This will include completing the attached client Payor Financial Form and providing it to the SMCBHRS Billing Office with the completed "assignment" that indicates the client's permission for SMCBHRS to bill their insurance.

We ALAS: Ayudando Latinos A Soñar elect option two.

  
\_\_\_\_\_  
Signature of authorized agent

  
\_\_\_\_\_  
Name of authorized agent

650.249.1725  
\_\_\_\_\_  
Telephone number

Please note if your agency already bills private insurance including Medicare for services you provide, then you must elect Option One. This is to prevent double billing. Please return this completed form to:

Doreen Avery, Revenue and Reimbursement Manager  
Behavioral Health and Recovery Services  
2000 Alameda de las Pulgas, Suite 280  
San Mateo, CA 94403  
(650) 573 2284

**Attachment D – Agency Payor Financial**

<b>Client ID (Do name search):</b> _____	<b>Client Date of Birth (Required):</b> _____	<b>SSN (Required):</b> _____
<b>Last Name:</b> _____	<b>First Name:</b> _____	<b>M.I.:</b> _____
<b>Alias or other names used:</b> _____		<b>Undocumented?</b> <input type="checkbox"/> Yes <input type="checkbox"/> No
<b>Does Client have Medi-Cal?</b> <input type="checkbox"/> Yes <input type="checkbox"/> No <b>Share of Cost Medi-Cal?</b> <input type="checkbox"/> Yes <input type="checkbox"/> No <b>Client's Medi-Cal Number (CIN Number):</b> _____ <b>Please attach copy of MEDS screen. If client has Full Scope Medi-Cal and no other Insurance coverage, skip the remaining sections of this form and fax to MIS/Billing Unit (650) 573-2110.</b>  Is client potentially eligible for Medi-Cal benefits? <input type="checkbox"/> Yes <input type="checkbox"/> No    Client referred to Medi-Cal? <input type="checkbox"/> Yes <input type="checkbox"/> No <b>Date of Referral:</b> _____ <b>Is this a Court-ordered Placement?</b> <input type="checkbox"/> Yes <input type="checkbox"/> No <b>Does Client have Medicare?</b> <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, please check all that apply ___ Part A   ___ Part B   ___ Part D <b>What is the Client's Medicare Number (HIC Number)?</b> _____ <b>Signed Assignment of Benefits?</b> <input type="checkbox"/> Yes <input type="checkbox"/> No <b>Please attach copy of Medicare card</b> <b>Responsible Party's Information (Guarantor):</b> <b>Name:</b> _____ <b>Phone:</b> _____ <b>Relationship to Client:</b> _____ <input type="checkbox"/> Self <b>Address:</b> _____ <b>City:</b> _____ <b>State:</b> _____ <b>Zip Code:</b> _____ <input type="checkbox"/> <b>Refused to provide Financial Information and will be charged full cost of service.</b>		
<b>3<sup>rd</sup> Party Health Insurance Information</b>		
<b>Health Plan or Insurance Company (Not employer)</b>		
<b>Company Name:</b> _____	<b>Policy Number:</b> _____	
<b>Street Address:</b> _____	<b>Group Number:</b> _____	
<b>City:</b> _____	<b>Name of Insured Person:</b> _____	
<b>State:</b> _____	<b>Zip:</b> _____	<b>Relationship to Client:</b> _____
<b>Insurance Co. phone number:</b> _____	<b>SSN of Insured Person (if other than client):</b> _____	
<b>Please attach copy of insurance card (front &amp; back)</b> <b>Signed Assignment of Benefits?</b> <input type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Does the client have Healthy Kids Insurance?</b> <input type="checkbox"/> Yes <input type="checkbox"/> No    If Yes, please attach copy of insurance card (front & back)		
<b>Does the client has HealthWorx Insurance?</b> <input type="checkbox"/> Yes <input type="checkbox"/> No    If Yes, please attach copy of insurance card (front & back)		
<b>Client Authorization</b>		
I affirm that the statements made herein are true and correct. I understand that I am responsible for paying the UMDAP liability amount or cost of treatment received by myself or by members of my household during each 1-year period. If the cost of service is more than the UMDAP liability amount, I will pay the lesser amount. It is my responsibility and I agree to provide verification of income, assets and expenses. If I do not authorize, I will be billed in full for services received. I authorize San Mateo County Mental Health to bill all applicable mental health services to Medi-Care and/or my insurance plan, including any services provided un 26.5. I authorize payment of healthcare benefits to San Mateo County Mental Health.		
Signature of Client or Authorized Person _____		Date _____
<b>Client refused to sign Authorization:</b> <input type="checkbox"/> Please check, if applicable Date: _____ Reason: _____ <b>Name of Interviewer:</b> _____ <b>Phone Number:</b> _____ <b>Best time to contact</b> _____ <b>Fax completed copy to: MIS/Billing Unit (650) 573-2110</b>		

ATTACHMENT E

FINGERPRINTING CERTIFICATION

Contractor hereby certifies that its employees, trainees, and/or its subcontractors, assignees, volunteers, and any other persons who provide services under this agreement, who have direct contact with any client will be fingerprinted in order to determine whether they have a criminal history which would compromise the safety of individuals with whom the Contractor's employees, trainees and/or its subcontractors, assignees, or volunteers have contact. Additionally, Contractor's employees, volunteers, consultants, agents, and any other persons who provide services under this Agreement and who has/will have supervisory or disciplinary power over a child (Penal Code Section 11105.3) (the "Applicant") shall be fingerprinted in order to determine whether each such Applicant has a criminal history which would compromise the safety of children with whom each such Applicant has/will have contact.

Contractor's employees, volunteers, consultants, agents, and any other persons who provide services under this Agreement will be fingerprinted and: (check a or b)

- a. do NOT exercise supervisory or disciplinary power over children (Penal 11105.3).
- b. do exercise supervisory or disciplinary power over children (Penal 11105.3).

ALAS: Ayudando Latinos A Soñar  
Name of Contractor

*Belinda Hernandez Arriaga, Ed.O. ACSW*  
Signature of Authorized Official

*Belinda Hernandez Arriaga*  
Name (please print)

*Executive Director*  
Title (please print)

*July 14, 2020*  
Date

# ATTACHMENT I

## Assurance of Compliance with Section 504 of the Rehabilitation Act of 1973, as Amended

The undersigned (hereinafter called "Contractor(s)") hereby agrees that it will comply with Section 504 of the Rehabilitation Act of 1973, as amended, all requirements imposed by the applicable DHHS regulation, and all guidelines and interpretations issued pursuant thereto.

The Contractor(s) gives/give this assurance in consideration of for the purpose of obtaining contracts after the date of this assurance. The Contractor(s) recognizes/recognize and agrees/agree that contracts will be extended in reliance on the representations and agreements made in this assurance. This assurance is binding on the Contractor(s), its successors, transferees, and assignees, and the person or persons whose signatures appear below are authorized to sign this assurance on behalf of the Contractor(s).

The Contractor(s): (Check a, b, or c)

a. Has no employees

b. Employs fewer than 15 persons

c. Employs 15 or more persons and, pursuant to section 84.7 (a) of the regulation (45 C.F.R. 84.7 (a)), has designated the following person(s) to coordinate its efforts to comply with the DHHS regulation.

Name of 504 Person:

*Belinda Hernandez Snyer*

Name of Contractor(s):

ALAS: Ayudando Latinos A Soñar

Street Address or P.O. Box:

604 Main Street, Suite G

City, State, Zip Code:

Half Moon Bay, CA 94019

I certify that the above information is complete and correct to the best of my knowledge

Signature:

*[Handwritten Signature]*

Title of Authorized Official:

*Executive Director*

Date:

*July 14, 2020*

\*Exception: DHHS regulations state that: "If a recipient with fewer than 15 employees finds that, after consultation with a disabled person seeking its services, there is no method of complying with (the facility accessibility regulations) other than making a significant alteration in its existing facilities, the recipient may, as an alternative, refer the handicapped person to other providers of those services that are accessible."



ATTACHMENT M  
**MHSA FUNDED PROGRAMS  
 ANNUAL REPORT**

Please complete the following report by August 15<sup>th</sup> of each year for previous fiscal year (July 1– June 30) program services. Email report to [mhsa@smcgov.org](mailto:mhsa@smcgov.org).

**AGENCY INFORMATION**

Agency Name: \_\_\_\_\_ MHSA-Funded Program Name: \_\_\_\_\_  
 Program Manager Name: \_\_\_\_\_  
 Email: \_\_\_\_\_ Phone Number: \_\_\_\_\_

**PROGRAM DESCRIPTION**

In 300-500 words, please provide a description of your program, include:

- 1) Program purpose
- 2) Target population served
- 3) Primary program activities and/or interventions provided

**OUTCOME DATA & PROGRAM IMPACT**

Please provide information and any data collected about changes in health outcomes of clients served.

**Data:** How does your program advance any of the following MHSA Intended Outcomes?

- Reducing the duration of untreated mental illness
- Preventing mental illness from becoming severe and disabling
- Reducing any of the following negative outcomes that may result from untreated mental illness:
  - Suicide
  - Incarcerations
  - School failure or dropout
  - Unemployment
  - Prolonged suffering
  - Homelessness
  - Removal of children from their homes





SAN MATEO COUNTY HEALTH

## BEHAVIORAL HEALTH & RECOVERY SERVICES

**Narrative:** Please describe how your program:

- 1) Improves timely access & linkage to treatment for underserved populations
- 2) Reduces stigma and discrimination
- 3) Increases number of individuals receiving public health services
- 4) Reduces disparities in access to care
- 5) Implements recovery principles

### SUCSESSES

Is there a particular intervention your program is especially proud of? We encourage client stories as an example of program success. If a client story is used, with appropriate consent, please include pictures and/or quotes from the client to help us personalize your program and the report.

### CHALLENGES

Have there been any challenges in implementing certain program activities and/or interventions? What are some solutions to mitigate these challenges in the future?

### UNDUPLICATED CLIENT INFORMATION & DEMOGRAPHICS

Number of unduplicated clients served:

Number of unduplicated families served:

Please provide demographic data of clients served as described in the attached client demographic survey and plans to collect data currently not collected: