

COUNTY OF SAN MATEO
APPROPRIATION TRANSFER REQUEST

REQUEST NO.
ATR 12 003

DEPARTMENT
Probation Department 3200D

DATE
10/19/2011

1. REQUEST TRANSFER OF APPROPRIATIONS AS LISTED BELOW:

	C O D E S		AMOUNT	DESCRIPTION
	FUND OR ORG.	ACCOUNT		
From	Various	Various	1,118,238 00	See attached
To	Various	Various	1,118,238 00	See attached

Justification. (Attach Memo if Necessary)

This ATR appropriates the AB 109 Realignment funds to various departments to fund the new positions for partial service delivery for AB 109 clients in San Mateo County.

DEPARTMENT HEAD

BY: Stuart J. Foner DATE 10-21-2011

2. ☐ Board Action Required ☒ Four-Fifths Vote Required ☐ Board Action Not Required

Remarks:

COUNTY CONTROLLER

BY: M. A. DATE 10-24-11

3. ☐ Approve as Requested ☐ Approve as Revised ☐ Disapprove

Remarks:

COUNTY MANAGER

BY: [Signature] DATE 10/25/2011

DO NOT WRITE BELOW THIS LINE — FOR BOARD OF SUPERVISORS' USE ONLY

BOARD OF SUPERVISORS, COUNTY OF SAN MATEO, STATE OF CALIFORNIA

RESOLUTION TRANSFERRING FUNDS

RESOLUTION NO. 071708

RESOLVED, by the Board of Supervisors of the County of San Mateo, that

WHEREAS, the Department hereinabove named in the Request for Appropriation, Allotment or Transfer of Funds has requested the transfer of certain funds as described in said Request; and

WHEREAS, the County Controller has approved said Request as to accounting and available balances, and the County Manager has recommended the transfer of funds as set forth hereinabove:

NOW, THEREFORE, IT IS HEREBY ORDERED AND DETERMINED that the recommendations of the County Manager be approved and that the transfer of funds as set forth in said Request be effected.

Regularly passed and adopted this 1st day of NOVEMBER, 2011

Ayes and in favor of said resolution:

Supervisors: DAVE PINE
CAROLE GROOM
DON HORSLEY
ROSE JACOBS GIBSON
ADRIENNE J. TISSIER

Noes and against said resolution:

Supervisors: NONE

Absent
Supervisors: NONE

ATTEST:

[Signature]
Clerk of Said Board

Carole Groom
PRESIDENT, BOARD OF SUPERVISORS
COUNTY OF SAN MATEO

DISTRIBUTION:
WHITE — BOARD OF SUPERVISORS
GREEN — CONTROLLER
CANARY — COUNTY MANAGER
PINK — DEPARTMENT
GOLDENROD — TREASURER



COUNTY OF SAN MATEO
Inter-Departmental Correspondence
Probation Department



DATE: September 28, 2011
BOARD MEETING DATE: October 18, 2011
SPECIAL NOTICE/HEARING: None
VOTE REQUIRED: 4/5th

TO: Honorable Board of Supervisors

FROM: Stuart J. Forrest, Chief Probation Officer

SUBJECT: Community Corrections Partnership (CCP) Interim Staff Funding Request

RECOMMENDATION:

1. Adopt an ordinance amending the Master Salary Ordinance; and
2. Approve an Appropriation Transfer Request (ATR) in the amount of \$945,384 to various accounts to fund the new positions for partial service delivery for AB 109 clients in San Mateo County; and
3. Adopt a Resolution in support of constitutional protection of realignment funding for public safety.

BACKGROUND:

Assembly Bill 109

On April 4, 2011, Governor Brown signed AB 109, Public Safety Realignment into law. AB 109 and subsequent legislation (AB 116, AB 117 and Abx1 17) is collectively referred to as Realignment. Realignment took effect on October 1, 2011.

The Purpose of Realignment

The purpose of realignment is to reduce the state prison population to comply with U.S. Supreme Court ruling, reduce prison spending, and reform sentencing laws. Realignment contains five key provisions: (1) it allows certain prisoners to serve their sentence in county jail instead of prison; (2) it provides for counties to adopt alternatives to incarceration; (3) it directs the release of prisoners to local communities for supervision rather than parole; (4) it changes the credits prisoners earn for time served; and (5) it changes the revocation process.

The Impact of Realignment at the County Level

Realignment affects all criminal justice agencies at the county level: Probation; the Sheriff; the Court [State level]; the District Attorney; the Private Defender; and local law

enforcement agencies. In addition, AB 109 relies on county health and human services agencies to provide needed services to this newly defined population who will no longer receive services through the California Department of Corrections and Rehabilitation (CDCR).

Community Corrections Partnership (CCP)

The Community Corrections Partnership (CCP) was established under SB 678 in 2009. SB 678 enabled the creation and use of evidence-based probation supervision practices for adult clients. A primary objective of the CCP is to develop and implement a community corrections program and is comprised of the following members:

1. Chief Probation Officer (chair) *
2. Presiding judge of the superior court (or designee) *
3. County Supervisor
4. District Attorney *
5. Private Defender *
6. Sheriff *
7. Chief of Police *
8. Head of the county health system
9. Head of the county department of social services *
10. Head of the county alcohol and substance abuse programs
11. Director of employment services
12. Head of the county office of education
13. Victims' representative
14. Community-based organization representative

San Mateo County held its first CCP meeting on August 3, 2011. Executive committee members are noted with an asterisk.

The 2011-12 State Budget includes a \$6.3 billion realignment of responsibilities and revenues to counties for the operation of a variety of public safety and social service programs. This budget plan did not include the proposed constitutional amendment previously negotiated between the Administration and counties, leaving counties with a commitment from the Governor to pursue the constitutional protections, but no mechanism by which to achieve them.

DISCUSSION:

Interim Agency Flow Chart

The flow chart (attached) serves as a first step in mapping out how various departments will interact and collaborate to deliver services and adhere to the new law. The process map also depicts gaps in the overall process that CCP Executive Members will need to address in the early stages of realignment.

In August and September 2011, five CCP member agencies developed process flow charts to reflect initial department-specific realignment operations: Probation, Health, Human Services, Sheriff's Office and Local Law Enforcement. A workgroup met to review individual flow charts and map the relationships at a higher-level to depict a

countywide process chart. At the time, the process mapping project was not applicable to the Court, the District Attorney or the Private Defender. Other major stakeholders involved and impacted by realignment, such as Community-Based Organizations (CBOs), have been depicted in the County process. However, new or expanded scopes of service required from CBOs will need to be determined from an initial analysis of the client population after the first few months of implementation.

Interim Budget Plan

Services have been provided to clients since October 1, 2011. In the short-term, departments will use existing resources to absorb initial operating and client services costs. It has been determined by the CCP Executive Committee that the following positions are needed at this time to address anticipated service delivery needs.

32000 - Probation

- Six C001S, Deputy Probation Officer Series
- One E375, Legal Office Specialist
- One D163, Probation Services Manager I

The eight positions would staff the Post Release Community Supervision unit and supervise post release parolees.

61000 – Behavioral Health and Recovery Services

- One G240S, Case Management/Assessment Specialist Series
- One G040S, Mental Health Caseworker Series (Psychiatric Social Worker Series)

These two positions would provide mental health assessment and linkage to mental health and drug and alcohol treatment services.

70000 – Human Services Agency

- One B202, Benefits Analyst III - Unclassified
- One B183S, Community Worker Series – Unclassified

These two positions would provide eligibility determination services and community services.

These positions will be phased in as departments will use existing staff to supervise and provide services to this population in the immediate future. Departments will track operating costs at the client level for units of service provided and will invoice the Probation Department in order to receive reimbursement from the AB 109 Realignment Funds. Probation will analyze the financial and programmatic data from the first few months of implementation, which will be used to develop a comprehensive recommended budget for the Local Implementation Plan. A fiscal workgroup has been created to assist Community Corrections Partnership build the current year budget future year plans.

Next Steps

The next steps in the Realignment planning process include the following seven steps:

- First, a county-level Realignment Workgroup, including all impacted departments and key stakeholders, will convene and bring together the various planning workgroups formed earlier in 2011.
- Second, a Realignment IT Workgroup has been created and will be led by the Probation Department. The group consists of key stakeholders from impacted departments who will define and interpret reporting requirements, and coordinate data gathering among various departments and agencies.
- Third, Probation and other criminal justice agencies will collect initial client data that can be analyzed and inform planning and plan creation.
- Fourth, departments will monitor actual units of service delivered to clients and associated costs in the first 2 – 3 months of implementation.
- Fifth, the CCP will select an outside contractor to create the final Local Implementation Plan.
- Sixth, the CCP Facilitator and Local Implementation Plan consultant will work with member departments and agencies to identify areas of need that will be included in the final budget request and Local Implementation Plan document. This document will be presented to the Board for approval in the first few months of 2012.
- And, seventh, fiscal analysis of early expenditures will help the CCP identify a fiscal plan for year 1 and help inform a year 2 budget recommendation.

State Constitutional Protections

Absent a constitutional amendment, counties remain vulnerable to potential diversion of revenues dedicated to realignment, as well as the fiscal consequences of changes to program requirements and parameters. Without constitutional protections, the success or failure of realignment remains in the hands of the Legislature and the Governor.

The implementation of AB 109 reflects San Mateo County's commitment to the Shared Vision 2025 goal of a Healthy Community, keeping neighborhoods safe and providing residents with access to quality health care and seamless services. County Counsel has reviewed and approved the Ordinance. The Resolution has been reviewed and approved as to form by County Counsel.

FISCAL IMPACT:

Total costs associated with the immediate staffing requests are \$945,384 for the remainder of FY 2011-12 and the annualized amount is \$1.5 million, of which \$123,398 will be funded from federal funds, and the remaining \$821,986 from AB 109 Realignment funds. There is no Net County Cost associated this ATR.

In the short-term, departments will use their existing appropriations to cover necessary operating and client services costs with reimbursement coming from the Realignment fund in the December – January timeframe. A second ATR will be prepared and submitted for the Board's approval in the coming months to appropriate the balance of AB 109 for the remainder of FY 2011-12.

Attachment for the AB 109 Realignment ATR			
Probation Department_3200D		10/6/2011	
	Codes		
	Org	Account	Description
From	32532	1685	634,288 Realignment Sales Tax 2011
	61201	1685	83,653 Realignment Sales Tax 2011
	61201	2372	83,653 Medi-cal FFP
	72220	1891	39,745 Federal Welfare Administration
	72220	1685	39,745 Realignment Sales Tax 2011
	73226	1685	64,300 Realignment Sales Tax 2011
To	32532	4000	634,288 Salaries and Benefits
	61201	4000	167,306 Salaries and Benefits
	72220	4000	79,490 Salaries and Benefits
	73226	4000	64,300 Salaries and Benefits