

**AMENDMENT NO. FOUR TO AGREEMENT
BETWEEN THE COUNTY OF SAN MATEO AND
PARSONS BRINCKERHOFF, INC.**

THIS AMENDMENT TO THE AGREEMENT, entered into this ____ day of _____, 20____, by and between the COUNTY OF SAN MATEO, hereinafter called "County," and PARSONS BRINCKERHOFF, INC., hereinafter called "Contractor";

W I T N E S S E T H:

WHEREAS, pursuant to Government Code, Section 31000, County may contract with independent contractors for the furnishing of such services to or for County or any Department thereof;

WHEREAS, the parties entered into an agreement for the Crystal Springs Dam Bridge Replacement Project (Project) engineering and construction management services on October 16, 2007; and

WHEREAS, on December 11, 2012, the parties amended the agreement to extend the agreement to December 31, 2015, and increased the not-to-exceed amount by \$254,210 for a new not-to-exceed amount of \$846,210; and

WHEREAS, on August 5, 2014, the parties amended the agreement to extend the agreement to December 31, 2017, and increased the not-to-exceed amount by \$418,180.48, for a new not-to-exceed amount of \$1,264,390.48; and

WHEREAS, on September 6, 2016, the parties amended the agreement to increase the not-to-exceed amount by \$156,919.52, for a new-not-to-exceed amount of \$1,421,310; and

WHEREAS, the parties wish to amend the agreement to extend the term to June 30, 2018; and increase the not-to-exceed amount by \$249,804, for a new not-to-exceed amount of \$1,671,114.

NOW, THEREFORE, IT IS HEREBY AGREED BY THE PARTIES HERETO AS FOLLOWS:

1. Section 16 "Termination of Agreement" of the agreement is amended to read as follows:

Subject to compliance with all terms and conditions, the term of this Agreement shall be from date of execution through June 30, 2018.

2. Exhibit B "Payment by County of San Mateo and Consultant Schedule and Fees," is hereby amended as follows:

NOT TO EXCEED

The Not to Exceed amount for this agreement, shall be One Million Six Hundred Seventy One Thousand One Hundred Fourteen Dollars (\$1,671,114) unless said amount is authorized to be increased by the County Board of Supervisors.

3. Original Exhibit B "CONSULTANT SCHEDULE AND FEES" is amended to include Attachment Exhibit B1 "Work Breakdown Structure Crystal Springs Dam Bridge Reconstruction".
4. Original Exhibit F "FEDERAL LOBBYING REPORTING INFORMATION LAPM INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES", is amended to include Attachment F1 "Federal Provisions".
5. Exhibit 10-K is added to the agreement.
6. **All other terms and conditions of the agreement dated October 16, 2007, as amended December 11, 2012, August 5, 2014, and September 6, 2016, between the County and Contractor shall remain in full force and effect.**

In witness of and in agreement with this Agreement's terms, the parties, by their duly authorized representatives, affix their respective signatures:

For Contractor: PARSONS BRINCKERHOFF, INC.



Contractor Signature

4/10/17

Date

JOHN FISHER

Contractor Name (please print)

COUNTY OF SAN MATEO

By: _____
President, Board of Supervisors, San Mateo County

Date: _____

ATTEST:

By: _____
Clerk of Said Board

Exhibit B1

Work Breakdown Structure Crystal Springs Dam Bridge Reconstruction		Total		Sabine van der Stuif	Roupen Deriklis	Gregory Bankovich	Chien-Tsun Chu	Norman Cestaco	Jan Ren (J.R.) Tao	Chait Sunthudkarn	Tsun L. Lee	Margo Parfanel
S.C.	Description	Person	Costs	\$ 212.50 Per Hour 187	\$ 229.19 Per Hour 300	\$ 198.78 Per Hour 235	\$ 248.82 Per Hour 100	\$ 159.25 Per Hour 30	\$ 124.56 Per Hour 45	\$ 158.11 Per Hour 50	\$ 252.54 Per Hour 15	\$ 134.58 Per Hour 60
S.C.	Cost & Estimate WORK											
	RFI and Submittals	47	\$ 8,892	27						20		
	Changed Field Conditions notation	190	\$ 30,207	120						30		
	Measuring/Field notes	40	\$ 8,488	40								
S.S.	Structural DROW											
	Wts and Submittals	275	\$ 50,303		100	135		30				10
	Changed Field Conditions notation	973	\$ 131,947		160	100	160	0	45		15	50
	Measuring/Field notes	40	\$ 10,248		40							
	TOTAL	1,125	\$ 249,804									

EXHIBIT F1: FEDERAL PROVISIONS

ARTICLE IV PERFORMANCE PERIOD

Consultant is advised that any recommendation for contract award is not binding on the County until the contract is fully executed and approved by the County Board of Supervisors.

ARTICLE V ALLOWABLE COSTS AND PAYMENTS

- A. The method of payment for this contract will be based on actual cost plus a fixed fee. County will reimburse Consultant for actual costs (including labor costs, employee benefits, travel, equipment rental costs, overhead and other direct costs) incurred by Consultant in performance of the work. Consultant will not be reimbursed for actual costs that exceed the estimated wage rates, employee benefits, travel, equipment rental, overhead, and other estimated costs set forth in the approved Consultant's Cost Proposal, unless additional reimbursement is provided for by contract amendment. In no event, will Consultant be reimbursed for overhead costs at a rate that exceeds County's approved overhead rate set forth in the Cost Proposal. In the event, that County determines that a change to the work from that specified in the Cost Proposal and contract is required, the contract time or actual costs reimbursable by County shall be adjusted by contract amendment to accommodate the changed work. The maximum total cost as specified in Paragraph "H" shall not be exceeded, unless authorized by contract amendment.
- B. In addition to the allowable incurred costs, County will pay Consultant a fixed fee of \$24,980.00. The fixed fee is nonadjustable for the term of the contract, except in the event of a significant change in the scope of work and such adjustment is made by contract amendment.
- C. Reimbursement for transportation and subsistence costs shall not exceed the rates specified in the approved Cost Proposal.
- D. When milestone cost estimates are included in the approved Cost Proposal, Consultant shall obtain prior written approval for a revised milestone cost estimate from the Contract Administrator before exceeding such cost estimate.
- E. Progress payments will be made monthly in arrears based on services provided and allowable incurred costs. A pro rata portion of Consultant's fixed fee will be included in the monthly progress payments. If Consultant fails to submit the required deliverable items according to the schedule set forth in the Statement of Work, County shall have the right to delay payment or terminate this Contract.
- F. No payment will be made prior to approval of any work, nor for any work performed prior to approval of this contract.
- G. Consultant will be reimbursed, within 30 days after receipt by County's Contract Administrator of itemized invoices. Invoices shall be submitted no later than 45 calendar days after the performance of work for which Consultant is billing. Invoices shall detail the work performed on each milestone and each project as applicable. Invoices shall follow the format stipulated for the approved Cost Proposal and shall reference this contract number and project title. Final invoice must contain the final

cost and all credits due County including any equipment purchased under the provisions of Article XVI Equipment Purchase of this contract. The final invoice should be submitted within 60 calendar days after completion of Consultant's work. Invoices shall be mailed to San Mateo County Department of Public Works Accounting Section at the following address:

San Mateo County Department of Public Works
Accounting Section
555 County Center, 5th Floor,
Redwood City, CA 94063

H. The total amount payable by County including the fixed fee shall not exceed \$1,671,114.

I. Salary increases will be reimbursable if the new salary is within the salary range identified in the approved Cost Proposal and is approved by County's Contract Administrator.

For personnel subject to prevailing wage rates as described in the California Labor Code, all salary increases, which are the direct result of changes in the prevailing wage rates are reimbursable.

J. All subcontracts in excess of \$25,000 shall contain the above provisions.

ARTICLE X COST PRINCIPLES

A. Consultant agrees that the Contract Cost Principles and Procedures, 48 CFR, Federal Acquisition Regulations System, Chapter 1, Part 31.000 et seq., shall be used to determine the cost allowability of individual items.

B. Consultant also agrees to comply with federal procedures in accordance with 49 CFR, Part 18, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments.

C. Any costs for which payment has been made to Consultant that are determined by subsequent audit to be unallowable under 49 CFR Part 18 and 48 CFR, Federal Acquisition Regulations System, Chapter 1, Part 31.000 et seq., are subject to repayment by Consultant to the County.

ARTICLE XIV AUDIT REVIEW PROCEDURES

A. Any dispute concerning a question of fact arising under an interim or post audit of this contract that is not disposed of by agreement, shall be reviewed by the Director of Public Works.

B. Not later than 30 days after issuance of the final audit report, Consultant may request a review by the Director of Public Works of unresolved audit issues. The request for review will be submitted in writing.

- C. Neither the pendency of a dispute nor its consideration by the County will excuse Consultant from full and timely performance, in accordance with the terms of this contract.
- D. Consultant and subconsultants' contracts, including cost proposals and indirect cost rates (ICR), are subject to audits or reviews such as, but not limited to, a Contract Audit, an Incurred Cost Audit, an ICR Audit, or a certified public accountant (CPA) ICR Audit Workpaper Review. If selected for audit or review, the contract, cost proposal and ICR and related workpapers, if applicable, will be reviewed to verify compliance with 48 CFR, Part 31 and other related laws and regulations. In the instances of a CPA ICR Audit Workpaper Review it is Consultant's responsibility to ensure federal, state, or local government officials are allowed full access to the CPA's workpapers. The contract, cost proposal, and ICR shall be adjusted by Consultant and approved by County contract manager to conform to the audit or review recommendations. Consultant agrees that individual terms of costs identified in the audit report shall be incorporated into the contract by this reference if directed by County at its sole discretion. Refusal by Consultant to incorporate audit or review recommendations, or to ensure that the Federal, State, or local governments have access to CPA workpapers, will be considered a breach of contract terms and cause for termination of the contract and disallowance of prior reimbursed costs.

ARTICLE XVI EQUIPMENT PURCHASE

- A. Prior authorization in writing, by County's Contract Administrator shall be required before Consultant enters into any unbudgeted purchase order, or subcontract exceeding \$5,000 for supplies, equipment, or Consultant services. Consultant shall provide an evaluation of the necessity or desirability of incurring such costs.
- B. For purchase of any item, service or consulting work not covered in Consultant's Cost Proposal and exceeding \$5,000 prior authorization by County's Contract Administrator; three competitive quotations must be submitted with the request, or the absence of bidding must be adequately justified.
- C. Any equipment purchased as a result of this contract is subject to the following:
"Consultant shall maintain an inventory of all nonexpendable property. Nonexpendable property is defined as having a useful life of at least two years and an acquisition cost of \$5,000 or more. If the purchased equipment needs replacement and is sold or traded in, County shall receive a proper refund or credit at the conclusion of the contract, or if the contract is terminated, Consultant may either keep the equipment and credit County in an amount equal to its fair market value, or sell such equipment at the best price obtainable at a public or private sale, in accordance with established County procedures; and credit County in an amount equal to the sales price. If Consultant elects to keep the equipment, fair market value shall be determined at Consultant's expense, on the basis of a competent independent appraisal of such equipment. Appraisals shall be obtained from an appraiser mutually agreeable to by County and Consultant, if it is determined to sell the equipment, the terms and conditions of such sale must be approved in advance

by County.” 49 CFR, Part 18 requires a credit to Federal funds when participating equipment with a fair market value greater than \$5,000 is credited to the project.

D. All subcontracts in excess \$25,000 shall contain the above provisions.

ARTICLE XXVII STATE PREVAILING WAGE RATES

- A. Consultant shall comply with the State of California's General Prevailing Wage Rate requirements in accordance with California Labor Code, Section 1770, and all Federal, State, and local laws and ordinances applicable to the work.
- B. Any subcontract entered into as a result of this contract if for more than \$25,000 for public works construction or more than \$15,000 for the alteration, demolition, repair, or maintenance of public works project, shall contain all of the provisions of this Article.

ARTICLE XXIX REBATES, KICKBACKS OR OTHER UNLAWFUL CONSIDERATION

Consultant warrants that this contract was not obtained or secured through rebates kickbacks or other unlawful consideration, either promised or paid to any County employee. For breach or violation of this warranty, County shall have the right in its discretion; to terminate the contract without liability; to pay only for the value of the work actually performed; or to deduct from the contract price; or otherwise recover the full amount of such rebate, kickback or other unlawful consideration.

EXHIBIT 10-K CONSULTANT CERTIFICATION OF CONTRACT COSTS AND FINANCIAL MANAGEMENT SYSTEM

(Note: If requesting to utilize the Safe Harbor Indirect Cost Rate submit Attachment 1 of DLA-OB 13-07 - Safe Harbor Indirect Cost Rate for Consultant Contracts found at http://www.dot.ca.gov/hq/LocalPrograms/BLA_OB/BLA_OB.htm in lieu of this form.)

Certification of Final Indirect Costs:

Consultant Firm Name: Parsons Brinckerhoff, Inc.

Indirect Cost Rate: 153.38%^{**} * for fiscal period 01/01/2015 - 12/31/2015
^{** inclusion of 0.55% FCGM}

*Fiscal period covered for Indirect Cos: Rate developed (not the contract period).

Local Government: County of San Mateo

Contract Number: BELO-5935(053)

Project Number: R1103

I, the undersigned, certify that I have reviewed the proposal to establish final indirect cost rates for the fiscal period as specified above and to the best of my knowledge and belief:

1. All costs included in this proposal to establish final Indirect Cost Rates are allowable in accordance with the cost principles of the Federal Acquisition Regulations (FAR) of Title 48, Code of Federal Regulations (CFR), Part 31.
2. This proposal does not include any costs which are expressly unallowable under the cost principles of the FAR of 48 CFR, Part 31.

All known material transactions or events that have occurred affecting the firm's ownership, organization, and Indirect Cost Rates have been disclosed as of the date of proposal preparation noted above.

Certification of Financial Management System:

I, the undersigned, certify to the best of my knowledge and belief that our Financial Management System meets the standards for financial reporting, accounting records, internal and budget control as set forth in the FAR of Title 49, CFR, Part 18.20 to the extent applicable to Consultant.

Certification of Dollar Amount for all A&E Contracts:

I, the undersigned, certify that the approximate dollar amount of all A&E contracts awarded by Caltrans or a California local agency to this firm within the last three (3) calendar years for all State DOT and Local Agencies is \$617,337,774.00 and the number of states in which the firm does business is 50.

Certification of Direct Costs:

I, the undersigned, certify to the best of my knowledge and belief that all direct costs identified on the cost proposal(s) in this contract are reasonable, allowable and allocable to the contract in accordance with the cost principles of the FAR of Title 48, CFR, Part 31. Allowable direct costs to a Government contract shall be:

Consultant Certification of Contract Costs and Financial Management System

1. Compliant with Generally Accepted Accounting Principles (GAAP) and standards promulgated by the Cost Accounting Standards Board (when applicable).
2. Compliant with the terms of the contract and is incurred specifically for the contract.
3. Not prohibited by 23 CFR, Chapter 1, Part 172 – Administration of Engineering and Design Related Service Contracts to the extent requirements are applicable to Consultant.

All costs must be applied consistently and fairly to all contracts. All documentation of compliance must be retained in the project files.

Subconsultants (if applicable)

Proposed Contract Amount (or amount not to exceed if on-call contract): \$ _____

Prime Consultants (if applicable)

Proposed Total Contract Amount (or amount not to exceed if on-call contract): \$ 1,671,114.00

Prime, list all subconsultants and proposed subcontract dollar amounts (attach additional page if necessary):

_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____

Consultant Certifying (Print Name and Title):

Name: Andrew J. Lynn

Title: Vice President

Consultant Certification Signature **: 

Date of Certification (mm/dd/yyyy): 03/28/17

Consultant Contact Information:

Email: lynnaj@phworld.com

Phone number: 213-455-5612

****An individual executive or financial officer of the consultant's organization at a level no lower than a Vice President or Chief Financial Officer, or equivalent, who has authority to represent the financial information utilized to establish the Indirect Cost Rate proposal submitted in conjunction with the contract.**

Note: For 23 U.S.C. 112(b)(2)(B), Subconsultants must comply with the FAR Cost Principles contained in 48 CFR, Part 31. 23 CFR Part 172.3 Definitions state, Consultant means the individual or firm providing engineering and design related services as a party to the contract. Therefore, subconsultants as parties to a contract must complete a certification and send originals to AD&I and keep copies in Local Agency Project Files.

Distribution: 1) Original to Citizens Audit and Investigators
2) Retained in Local Agency Project Files