

## Blue Shield of California Foundation Grant Agreement

Date: December 12, 2014

Grant #: 16304349

Grantee: San Mateo County Health System  
225 37th Avenue  
San Mateo, CA 94403

Grant Amount: \$150,000

Grant Term: 9 months, 3/1/15 to 11/1/15

Payment Schedule:

first and only payment for \$150,000	Issued upon receipt of signed grant agreement
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Reporting Schedule: Final Report 11/1/15

Grant Title: A Four County Analysis of Indigent Care Enrollment Systems

Purpose of Grant: To research and agree upon the shared programmatic and technical requirements for an indigent care enrollment system of record for Alameda, San Francisco, San Mateo and Los Angeles counties that will have replicability for other county remaining uninsured programs.

Key Objectives: By March 31, 2015, select and retain a consultant that would work with the four county partners (Alameda, Los Angeles, San Francisco and San Mateo).

By June 30, 2015, complete stakeholder interviews with county staff and vendors and document findings.

By July 31, 2015, complete the definition of preliminary requirements, based on research and analysis of each county's needs, the capacities of likely vendors/partners, and consideration of key design elements that could enable a more unified and standard design.

By November 30, 2015, engage with two or more counties to develop detailed technical specifications for a county indigent system of record that could be used in county or shared procurements, or through other approaches to improve upon existing enrollment systems used by the participating counties.

This is a legally binding agreement ("Agreement"). It will be effective upon our receipt of an original of this Agreement, signed by an authorized representative of your organization. We will arrange for payment of the first installment of the grant within 30 days of our receipt of a signed original.

Review the terms and conditions of this Agreement very carefully, including its reporting requirements. The Foundation will not consider grant renewals for grantees who fail to meet reporting requirements.

### TERMS AND CONDITIONS

- 1. Use of Funds.** Grantee shall use the grant funds only for the purposes of the specific project described above and substantially in accordance with the approved budget included with Grantee's proposal. Grantee shall repay to the Foundation any portion of the grant funds which are not spent or committed, or which are not used for the specific project described in this

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Agreement. Any significant changes in the purpose for which grant funds are spent or in the budget or grant period must be approved in writing by the Foundation before the funds are spent.

2. **Reporting.** Report(s) are to be furnished to the Foundation no later than the date(s) indicated above.

In addition to the required report(s), BSCF may contact you mid-course of the grant term to inquire about the status of the project.

The purpose of these report(s) is to permit the Foundation to learn from its experience as a grantmaker and to meet its obligations under federal and state regulations. Failure to submit these report(s) may disqualify Grantee from receiving future funding from the Foundation.

3. **Evaluation and Monitoring.** The Foundation may monitor and conduct an evaluation of operations under this grant. This may include a visit from Foundation staff, Trustees, and/or Foundation advisors, to observe Grantee's program, discuss the program with Grantee's personnel, and review financial and other records and materials connected with the activities financed by this grant. In addition, Grantee shall provide to the Foundation copies of any publications or other materials produced, in full or in part, with Foundation funds.
4. **Recordkeeping.** Grantee shall keep adequate records to substantiate expenditures from grant funds. Grantee shall make its books and records pertaining to the grant funds available to the Foundation at reasonable times for review and audit, and shall comply with all reasonable requests of the Foundation for information and interviews regarding use of grant funds. Grantee shall keep copies of all books and records related to this grant and all reports to the Foundation for at least four years after Grantee has expended the last of the grant funds.
5. **Sub-grantees.** Grantee shall retain full discretion and control over the selection of any sub-grantees or sub-contractors to carry out Grantee's charitable purposes and shall act completely independently of the Foundation. The Foundation and Grantee acknowledge that there is no agreement, written or oral, by which the Foundation may cause Grantee to choose any particular sub-grantee or sub-contractor. Grantee shall require that any sub-grantee or sub-contractor be subject to the requirements of Paragraphs 1, 2, 3, 4, 6, 8, 9, 10, and 11 of this Agreement, substituting Grantee for the Foundation and the sub-grantee or sub-contractor for Grantee, as applicable. All obligations of Grantee under these Paragraphs shall remain in full force and effect.
6. **Prohibited Uses.** Grantee shall not use any portion of the funds granted:
  - a. Grantee shall not use any portion of the grant funds in an attempt to influence legislation within the meaning of Section 4945(e) of the Internal Revenue Code ("IRC"). This prohibition shall not prevent Grantee from using grant funds for communications that do not qualify as lobbying under IRC Section 4945(e), such as; communications with legislators that do not refer to any specific legislation or that refer to legislation without reflecting any view on it; Grantee may also use grant funds for communications that qualify for any exception to the definition of lobbying under IRC Section 4945(e), such as nonpartisan analysis, study, or research, or certain responses to written requests from a legislative body or committee or government agency for comments on legislation;
  - b. Grantee shall not use any portion of the grant for reportable or discloseable activities under any applicable state or local campaign finance, lobbying disclosure, or election laws;

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- c. To influence the outcome of any specific election for candidates to public office, or to carry on, directly or indirectly, a voter registration drive within the meaning of IRC Section 4945(d)(2), as interpreted by its accompanying regulations;
  - d. To undertake an activity for any purpose other than a religious, charitable, scientific, literary, educational, or other purpose specified in IRC Section 170(c)(2)(B); or
  - e. To induce or encourage violations of law or public policy, to cause any private inurement or improper private benefit to occur, or to take any other action inconsistent with IRC Section 501(c)(3).
7. **Grant Announcements.** Grantee shall submit in advance to the Foundation, for review and revision at the sole discretion of the Foundation, any announcements Grantee intends to make regarding the grant, and any publications referring to the grant Grantee intends to publish other than in its annual reports or tax returns. When referencing Foundation-funded work publicly, Grantee shall use the following sample text: "This [work/publication/project/report] was made possible with funding from Blue Shield of California Foundation." The Foundation may include information on the grant in its periodic public reports and may also refer to the grant in a press release. If there are special considerations concerning the public announcement of this grant, or if Grantee would like to coordinate a public announcement of the grant with the Foundation, Grantee may contact the Foundation to discuss Grantee's plans.
8. **Representation and Warranty Regarding Tax Status.** By entering into this Agreement, Grantee represents and warrants that Grantee is exempt from federal income tax under IRC Section 501(c)(3) or in the absence of such a determination, that Grantee is a state or any political subdivision thereof within the meaning of Code Section 170(c)(1), or a state college or university within the meaning of Code Section 511(a)(2)(B) and that it is not a private foundation as defined in IRC Section 509(a) (i.e., that it is a "Public Charity"). Foundation is entering into this Agreement in reliance on such representations and warranties. Such representation and warranty shall continue through the completion date of this grant.
9. **Publications; License.** Any information contained in publications, studies, or research funded by this grant shall be made available to the public following such reasonable requirements or procedures as the Foundation may establish from time to time. Grantee grants to the Foundation an irrevocable, nonexclusive license to publish any publications, studies, or research funded by this grant at its sole discretion.
10. **Third-party Logo Use.** A one-time use of the Blue Shield of California Foundation logo (the "Logo") may be granted to funded organizations. Requests should be sent in advance to [bscfpublicaffairs@blueshieldcafoundation.org](mailto:bscfpublicaffairs@blueshieldcafoundation.org) with a description of the proposed use of the Logo. Grantee shall not cause or permit the Logo to appear on any website unless such website qualifies for liability limitations pursuant to Section 512 of the Digital Millennium Copyright Act ("DMCA"), including but not limited to the designation and registration with the United States Copyright Office of a "designated agent" as defined for purposes of the DMCA. Please forward a copy of the final printed piece or the web address where the logo is used to:
- Blue Shield of California Foundation  
Attn: Public Affairs  
50 Beale Street  
San Francisco, CA 94105  
Email: [grants@blueshieldcafoundation.org](mailto:grants@blueshieldcafoundation.org)
11. **Violation of Terms; Change of Status.** In the case of any violation by Grantee of the terms and conditions of the grant, including but not limited to not executing the work of the grant in substantial compliance with the proposal, or in the event of any change in or challenge by the

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Internal Revenue Service of Grantee's status as a Public Charity, the Foundation reserves the right in its absolute discretion to terminate the grant as provided in Paragraph 16. The Foundation's determination will be final and will be binding and conclusive upon Grantee. Grantee shall give the Foundation immediate written notice of any change in Grantee's tax exempt or Public Charity status. If final or interim reports are not received in a timely manner, the Foundation may withhold payment until the outstanding report is received, and may terminate the grant as provided in Paragraph 16 if any such report is not received within sixty [60] days following the date on which it was due.

12. **No Agency.** Grantee is solely responsible for all activities supported by the grant funds, the content of any product created with the grant funds, and the manner in which such products may be disseminated. This Agreement shall not create any agency relationship, partnership, or joint venture between the parties, and Grantee shall make no such representation to anyone.
13. **Terrorist Activity.** Grantee agrees that the grant funds will be used in compliance with all applicable anti-terrorist financing and asset control laws, regulations, rules and executive orders.
14. **Further Assurances.** Grantee acknowledges that it understands its obligations imposed by this Agreement, including but not limited to those obligations imposed by reference to the IRC. Grantee agrees that if Grantee has any doubts about its obligations under this Agreement, including those incorporated by reference to the IRC, Grantee will promptly contact the Foundation or legal counsel.
15. **Indemnification.** Grantee irrevocably and unconditionally agrees, to the fullest extent permitted by law, to defend, indemnify, and hold harmless the Foundation, its officers, directors, employees, and agents, from and against any and all claims, liabilities, losses, and expenses (including reasonable attorneys' fees) directly, indirectly, wholly, or partially arising from or in connection with any act or omission of Grantee, its employees, or agents, in applying for or accepting the grant, or in expending or applying the grant funds or carrying out any project or program to be supported by the grant, except to the extent that such claims, liabilities, losses, or expenses arise from or in connection with any act or omission of the Foundation, its officers, directors, employees, or agents.
16. **Remedies.** If the Foundation determines, in its sole discretion, that Grantee has substantially violated or failed to carry out any provision of this Agreement, including but not limited to failure to submit reports when due, the Foundation may, in addition to any other legal remedies it may have, refuse to make any further grant payments to Grantee under this or any other grant agreement, and the Foundation may demand the return of all or part of the grant funds not properly spent or committed to third parties, which Grantee shall immediately repay to the Foundation. The Foundation may also avail itself of any other remedies available by law.
17. **Captions.** All captions and headings in this Agreement are for the purposes of reference and convenience only. They shall not limit or expand the provisions of this Agreement.
18. **No Waivers.** The failure of the Foundation to exercise any of its rights under this Agreement shall not be deemed to be a waiver of such rights.
19. **Entire Agreement.** This Agreement supersedes any prior or contemporaneous oral or written understandings or communications between the parties and constitutes the entire agreement of the parties with respect to its subject matter. This Agreement may not be amended or modified, except by written mutual agreement by both parties.
20. **Governing Law; Venue.** This Agreement shall be governed by the laws of the State of California applicable to contracts to be performed entirely within the State. For the purpose of any action or

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proceeding arising out of or relating to this Agreement, each of the parties hereto irrevocably (a) submits to the exclusive jurisdiction of the state courts of California and to the jurisdiction of the United States District Court for the District of Northern California and (b) agrees that all claims in respect of such action or proceeding shall be heard and determined exclusively in any California state or U.S. federal court sitting in the City and County of San Francisco, California.

Have one copy of this agreement reviewed and signed where indicated by an authorized officer of Grantee and returned to the attention of **Grants and Contracts Administrator, Jessica Gau**. Once countersigned, a final copy of the agreement will be posted to our online system and a notice emailed to the grantseeker for download at any time, using the link and grantseeker credentials noted in the letter accompanying this agreement. If, during the life of this grant you have questions or if changes in circumstance arise, contact Program Officer **Rachel Wick**.

### **ACCEPTED AND AGREED:**

Grantee: San Mateo County Health System

EIN: 946000532

By: \_\_\_\_\_  
Signature of person authorized to sign on behalf of the grantee

Printed  
Name: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

Foundation:

By: \_\_\_\_\_

Name: Peter V. Long, Ph.D.

Title: President and CEO

Date: \_\_\_\_\_

NOTE: Payment(s) on this grant will be delivered to your organization's bank account by electronic funds transfer, using the information your organization provides in the required ACH form. Once funds have been transmitted, a notice will be emailed to the grantseeker contact.