

**AGREEMENT BETWEEN THE COUNTY OF SAN MATEO
AND AMERICAN INSTITUTES FOR RESERACH**

This Agreement is entered into this ____ day of _____, 2025, by and between the County of San Mateo, a political subdivision of the state of California, hereinafter called "County," and American Institutes for Research, hereinafter called "Contractor."

* * *

Whereas, pursuant to Section 31000 of the California Government Code, County may contract with independent contractors for the furnishing of such services to or for County or any Department thereof; and

Whereas, it is necessary and desirable that Contractor be retained for the purpose of providing evaluation and research services.

Now, therefore, it is agreed by the parties to this Agreement as follows:

1. Exhibits and Attachments

The following exhibits and attachments are attached to this Agreement and incorporated into this Agreement by this reference:

- Exhibit A—Services
- Exhibit B—Payments and Rates
- Attachment A—Rate Schedule
- Attachment H—HIPAA Business Associate Requirements

2. Services to be performed by Contractor

In consideration of the payments set forth in this Agreement and in Exhibit B, Contractor shall perform services for County in accordance with the terms, conditions, and specifications set forth in this Agreement and in Exhibit A.

3. Payments

In consideration of the services provided by Contractor in accordance with all terms, conditions, and specifications set forth in this Agreement and in Exhibit A, County shall make payment to Contractor based on the rates and in the manner specified in Exhibit B. County reserves the right to withhold payment if County determines that the quantity or quality of the work performed is unacceptable. In no event shall County's total fiscal obligation under this Agreement exceed ONE MILLION FOUR HUNDRED SEVENTY-FOUR THOUSAND FIVE HUNDRED SIX DOLLARS (\$1,474,506). In the event that the County makes any advance payments, Contractor agrees to refund any amounts in excess of the amount owed by the County at the time of contract termination or expiration. Contractor is not entitled to payment for work not performed as required by this agreement.

4. Term

Subject to compliance with all terms and conditions, the term of this Agreement shall be from January 1, 2025, through June 30, 2026.

5. Termination

This Agreement may be terminated by Contractor or by the Chief of San Mateo County Health or his/her designee at any time without a requirement of good cause upon thirty (30) days' advance written notice to the other party. Subject to availability of funding, Contractor shall be entitled to receive payment for work/services provided prior to termination of the Agreement. Such payment shall be that prorated portion of the full payment determined by comparing the work/services actually completed to the work/services required by the Agreement.

County may terminate this Agreement or a portion of the services referenced in the Attachments and Exhibits based upon the unavailability of Federal, State, or County funds by providing written notice to Contractor as soon as is reasonably possible after County learns of said unavailability of outside funding.

County may terminate this Agreement for cause. In order to terminate for cause, County must first give Contractor notice of the alleged breach. Contractor shall have five business days after receipt of such notice to respond and a total of ten calendar days after receipt of such notice to cure the alleged breach. If Contractor fails to cure the breach within this period, County may immediately terminate this Agreement without further action. The option available in this paragraph is separate from the ability to terminate without cause with appropriate notice described above. In the event that County provides notice of an alleged breach pursuant to this section, County may, in extreme circumstances, immediately suspend performance of services and payment under this Agreement pending the resolution of the process described in this paragraph. County has sole discretion to determine what constitutes an extreme circumstance for purposes of this paragraph, and County shall use reasonable judgment in making that determination.

6. Contract Materials

At the end of this Agreement, or in the event of termination, all finished or unfinished documents, data, studies, maps, photographs, reports, and other written materials (collectively referred to as "contract materials") prepared by Contractor under this Agreement shall become the property of County and shall be promptly delivered to County. Upon termination, Contractor may make and retain a copy of such contract materials if permitted by law.

7. Relationship of Parties

Contractor agrees and understands that the work/services performed under this Agreement are performed as an independent contractor and not as an employee of County and that neither Contractor nor its employees acquire any of the rights, privileges, powers, or advantages of County employees.

8. Hold Harmless

a. General Hold Harmless

Contractor shall indemnify and save harmless County and its officers, agents, employees, and servants from all liabilities, claims, suits, or actions of every kind resulting from this Agreement, the performance of any work or services required of Contractor under this Agreement, or payments made pursuant to this Agreement, including but not limited to: sanctions or penalties resulting from Contractor's failure to comply with the Health Insurance Portability and Accountability Act of 1996 (HIPAA) and all Federal regulations promulgated thereunder, as amended; claims by a third party that

services provided under this Agreement infringe or violate any third party's IP Rights enforceable in the United States; injury to or death of any person or damage to or destruction of any property resulting from Contractor's negligent or intentional acts or omissions in its performance of this Agreement. The duty of Contractor to indemnify and save harmless as set forth by this Section shall include the duty to defend as set forth in Section 2778 of the California Civil Code.

b. Intellectual Property Indemnification

Contractor hereby certifies that it owns, controls, and/or licenses and retains all right, title, and/or interest in and to any intellectual property it uses in relation to this Agreement, including the design, look, feel, features, source code, content, and/or other technology relating to any part of the services it provides under this Agreement and including all related patents, inventions, trademarks, and copyrights, all applications therefor, and all trade names, service marks, know how, and trade secrets (collectively referred to as "IP Rights") except as otherwise noted by this Agreement.

Contractor represents that the services it provides under this Agreement do not infringe, violate, trespass, or constitute the unauthorized use or misappropriation of any IP Rights of any third party.

Notwithstanding anything in this Section to the contrary, Contractor will have no obligation or liability to County under this Section to the extent any otherwise covered claim is based upon:

(a) any aspects of the services under this Agreement which have been modified by or for County (other than modification performed by, or at the direction of, Contractor) in such a way as to cause the alleged infringement at issue; and/or (b) any aspects of the services under this Agreement which have been used by County in a manner prohibited by this Agreement.

9. Assignability and Subcontracting

Contractor shall not assign this Agreement or any portion of it to a third party or subcontract with a third party to provide services required by Contractor under this Agreement without the prior written consent of County. Any such assignment or subcontract without County's prior written consent shall give County the right to automatically and immediately terminate this Agreement without penalty or advance notice.

10. Insurance

a. General Requirements

Contractor shall not commence work or be required to commence work under this Agreement unless and until all insurance required under this Section has been obtained and such insurance has been approved by County's Risk Management, and Contractor shall use diligence to obtain such insurance and to obtain such approval. Contractor shall furnish County with certificates of insurance evidencing the required coverage, and there shall be a specific contractual liability endorsement extending Contractor's coverage to include the contractual liability assumed by Contractor pursuant to this Agreement. These certificates shall specify or be endorsed to provide that thirty (30) days' notice must be given, in writing, to County of any pending change in the limits of liability or of any cancellation or modification of the policy.

b. Workers' Compensation and Employer's Liability Insurance

Contractor shall have in effect during the entire term of this Agreement workers' compensation and employer's liability insurance providing full statutory coverage. In signing this Agreement, Contractor certifies, as required by Section 1861 of the California Labor Code, that (a) it is aware of the provisions of

Section 3700 of the California Labor Code, which require every employer to be insured against liability for workers' compensation or to undertake self-insurance in accordance with the provisions of the Labor Code, and (b) it will comply with such provisions before commencing the performance of work under this Agreement.

c. Liability Insurance

Contractor shall take out and maintain during the term of this Agreement such bodily injury liability and property damage liability insurance as shall protect Contractor and all of its employees/officers/agents while performing work covered by this Agreement from any and all claims for damages for bodily injury, including accidental death, as well as any and all claims for property damage which may arise from Contractor's operations under this Agreement, whether such operations be by Contractor, any subcontractor, anyone directly or indirectly employed by either of them, or an agent of either of them. Such insurance shall be combined single limit bodily injury and property damage for each occurrence and shall not be less than the amounts specified below:

- (a) Comprehensive General Liability... \$1,000,000
- (b) Motor Vehicle Liability Insurance... \$1,000,000
- (c) Professional Liability..... \$1,000,000

County and its officers, agents, employees, and servants shall be named as additional insured on any such policies of insurance, which shall also contain a provision that (a) the insurance afforded thereby to County and its officers, agents, employees, and servants shall be primary insurance to the full limits of liability of the policy and (b) if the County or its officers, agents, employees, and servants have other insurance against the loss covered by such a policy, such other insurance shall be excess insurance only.

In the event of the breach of any provision of this Section, or in the event any notice is received which indicates any required insurance coverage will be diminished or canceled, County, at its option, may, notwithstanding any other provision of this Agreement to the contrary, immediately declare a material breach of this Agreement and suspend all further work and payment pursuant to this Agreement.

11. Compliance With Laws

All services to be performed by Contractor pursuant to this Agreement shall be performed in accordance with all applicable Federal, State, County, and municipal laws, ordinances, regulations, and executive orders, including but not limited to the Health Insurance Portability and Accountability Act of 1996 (HIPAA) and the Federal Regulations promulgated thereunder, as amended (if applicable), the Business Associate requirements set forth in Attachment H (if attached), the Americans with Disabilities Act of 1990, as amended, and Section 504 of the Rehabilitation Act of 1973, which prohibits discrimination on the basis of disability in programs and activities receiving any Federal or County financial assistance, as well as any required economic or other sanctions imposed by the United States government or under state law in effect during the term of the Agreement. Such services shall also be performed in accordance with all applicable ordinances and regulations, including but not limited to appropriate licensure, certification regulations, provisions pertaining to confidentiality of records, and applicable quality assurance regulations. In the event of a conflict between the terms of this Agreement and any applicable State, Federal, County, or municipal law, regulation, or executive order, the requirements of the applicable law, regulation, or executive order will take precedence over the requirements set forth in

this Agreement.

Further, Contractor certifies that it and all of its subcontractors will adhere to all applicable provisions of Chapter 4.107 of the San Mateo County Ordinance Code, which regulates the use of disposable food service ware. Accordingly, Contractor shall not use any non-recyclable plastic disposable food service ware when providing prepared food on property owned or leased by the County and instead shall use biodegradable, compostable, reusable, or recyclable plastic food service ware on property owned or leased by the County. (This paragraph may be deleted without County Attorney Review if not relevant to this agreement)

Contractor will timely and accurately complete, sign, and submit all necessary documentation of compliance.

12. Non-Discrimination and Other Requirements

a. General Non-discrimination

No person shall be denied any services provided pursuant to this Agreement (except as limited by the scope of services) on the grounds of race, color, national origin, ancestry, age, disability (physical or mental), sex, sexual orientation, gender identity, marital or domestic partner status, religion, political beliefs or affiliation, familial or parental status (including pregnancy), medical condition (cancer-related), military service, or genetic information.

b. Equal Employment Opportunity

Contractor shall ensure equal employment opportunity based on objective standards of recruitment, classification, selection, promotion, compensation, performance evaluation, and management relations for all employees under this Agreement. Contractor's equal employment policies shall be made available to County upon request.

c. Section 504 of the Rehabilitation Act of 1973

Contractor shall comply with Section 504 of the Rehabilitation Act of 1973, as amended, which provides that no otherwise qualified individual with a disability shall, solely by reason of a disability, be excluded from the participation in, be denied the benefits of, or be subjected to discrimination in the performance of any services this Agreement. This Section applies only to contractors who are providing services to members of the public under this Agreement.

d. Compliance with County's Equal Benefits Ordinance

Contractor shall comply with all laws relating to the provision of benefits to its employees and their spouses or domestic partners, including, but not limited to, such laws prohibiting discrimination in the provision of such benefits on the basis that the spouse or domestic partner of the Contractor's employee is of the same or opposite sex as the employee.

e. Discrimination Against Individuals with Disabilities

The nondiscrimination requirements of 41 C.F.R. 60-741.5(a) are incorporated into this Agreement as if fully set forth here, and Contractor and any subcontractor shall abide by the requirements of 41 C.F.R. 60-741.5(a). This regulation prohibits discrimination against qualified individuals on the basis of disability

and requires affirmative action by covered prime contractors and subcontractors to employ and advance in employment qualified individuals with disabilities.

f. History of Discrimination

Contractor certifies that no finding of discrimination has been issued in the past 365 days against Contractor by the Equal Employment Opportunity Commission, the California Department of Fair Employment and Housing, or any other investigative entity. If any finding(s) of discrimination have been issued against Contractor within the past 365 days by the Equal Employment Opportunity Commission, the California Department of Fair Employment and Housing, or other investigative entity, Contractor shall provide County with a written explanation of the outcome(s) or remedy for the discrimination prior to execution of this Agreement. Failure to comply with this Section shall constitute a material breach of this Agreement and subjects the Agreement to immediate termination at the sole option of the County.

g. Reporting; Violation of Non-discrimination Provisions

Contractor shall report to the County the filing in any court or with any administrative agency of any complaint or allegation of discrimination on any of the bases prohibited by this Section of the Agreement or the Section titled "Compliance with Laws". Such duty shall include reporting of the filing of any and all charges with the Equal Employment Opportunity Commission, the California Department of Fair Employment and Housing, or any other entity charged with the investigation or adjudication of allegations covered by this subsection within 30 days of such filing, provided that within such 30 days such entity has not notified Contractor that such charges are dismissed or otherwise unfounded. Such notification shall include a general description of the circumstances involved and a general description of the kind of discrimination alleged (for example, gender-, sexual orientation-, religion-, or race- based discrimination) and the nature of the specific claims being asserted. Contractor shall provide County with a statement regarding how it responded to the allegations within sixty (60) days of its response and shall update County regarding the nature of the final resolution of such allegations.

Violation of the non-discrimination provisions of this Agreement shall be considered a breach of this Agreement and subject the Contractor to penalties, to be determined by the County Executive Officer, including but not limited to the following:

- i. termination of this Agreement;
- ii. disqualification of the Contractor from being considered for or being awarded a County contract for a period of up to 3 years;

To effectuate the provisions of this Section, the County Executive shall have the authority to offset all or any portion of the amount described in this Section against amounts due to Contractor under this Agreement or any other agreement between Contractor and County.

h. Compliance with Living Wage Ordinance

As required by Chapter 2.88 of the San Mateo County Ordinance Code, Contractor certifies all contractor(s) and subcontractor(s) obligated under this contract shall fully comply with the provisions of the County of San Mateo Living Wage Ordinance, including, but not limited to, paying all Covered Employees the current Living Wage and providing notice to all Covered Employees and Subcontractors as required under the Ordinance.

13. Anti-Harassment Clause

Employees of Contractor and County shall not harass (sexually or otherwise) or bully or discriminate against each other's employee on the grounds of race, color, national origin, ancestry, age, disability (physical or mental), sex, sexual orientation, gender identity, marital or domestic partner status, religion, political beliefs or affiliation, familial or parental status (including pregnancy), medical condition (cancer-related), military service, or genetic information. Any misconduct by Contractor's employees towards County employees may be grounds for termination of the Contract. Contractor shall timely address any allegations of their employee's misconduct by a County employee including immediately removing that employee from work on the Contract.

14. Compliance with County Employee Jury Service Ordinance

Contractor shall comply with Chapter 2.85 of the County's Ordinance Code, which states that Contractor shall have and adhere to a written policy providing that its employees, to the extent they are full-time employees and live in San Mateo County, shall receive from the Contractor, on an annual basis, no fewer than five days of regular pay for jury service in San Mateo County, with jury pay being provided only for each day of actual jury service. The policy may provide that such employees deposit any fees received for such jury service with Contractor or that the Contractor may deduct from an employee's regular pay the fees received for jury service in San Mateo County. By signing this Agreement, Contractor certifies that it has and adheres to a policy consistent with Chapter 2.85. For purposes of this Section, if Contractor has no employees in San Mateo County, it is sufficient for Contractor to provide the following written statement to County: "For purposes of San Mateo County's jury service ordinance, Contractor certifies that it has no full-time employees who live in San Mateo County. To the extent that it hires any such employees during the term of its Agreement with San Mateo County, Contractor shall adopt a policy that complies with Chapter 2.85 of the County's Ordinance Code." The requirements of Chapter 2.85 do not apply unless this Agreement's total value listed in the Section titled "Payments", exceeds two-hundred thousand dollars (\$200,000); Contractor acknowledges that Chapter 2.85's requirements will apply if this Agreement is amended such that its total value exceeds that threshold amount.

15. Retention of Records; Right to Monitor and Audit

(a) Contractor shall maintain all required records relating to services provided under this Agreement for three (3) years after County makes final payment and all other pending matters are closed, and Contractor shall be subject to the examination and/or audit by County, a Federal grantor agency, and the State of California.

(b) Contractor shall comply with all program and fiscal reporting requirements set forth by applicable Federal, State, and local agencies and as required by County.

(c) Contractor agrees upon reasonable notice to provide to County, to any Federal or State department having monitoring or review authority, to County's authorized representative, and/or to any of their respective audit agencies access to and the right to examine all records and documents necessary to determine compliance with relevant Federal, State, and local statutes, rules, and regulations, to determine compliance with this Agreement, and to evaluate the quality, appropriateness, and timeliness of services performed.

16. Merger Clause; Amendments

This Agreement, including the Exhibits and Attachments attached to this Agreement and incorporated by reference, constitutes the sole Agreement of the parties to this Agreement and correctly states the rights, duties, and obligations of each party as of this document's date. In the event that any term, condition, provision, requirement, or specification set forth in the body of this Agreement conflicts with or is inconsistent with any term, condition, provision, requirement, or specification in any Exhibit and/or Attachment to this Agreement, the provisions of the body of the Agreement shall prevail. Any prior agreement, promises, negotiations, or representations between the parties not expressly stated in this document are not binding. All subsequent modifications or amendments shall be in writing and signed by the parties.

17. Controlling Law; Venue

The validity of this Agreement and of its terms, the rights and duties of the parties under this Agreement, the interpretation of this Agreement, the performance of this Agreement, and any other dispute of any nature arising out of this Agreement shall be governed by the laws of the State of California without regard to its choice of law or conflict of law rules. Any dispute arising out of this Agreement shall be venued either in the San Mateo County Superior Court or in the United States District Court for the Northern District of California.

18. Notices

Any notice, request, demand, or other communication required or permitted under this Agreement shall be deemed to be properly given when both: (1) transmitted via facsimile to the telephone number listed below or transmitted via email to the email address listed below; and (2) sent to the physical address listed below by either being deposited in the United States mail, postage prepaid, or deposited for overnight delivery, charges prepaid, with an established overnight courier that provides a tracking number showing confirmation of receipt.

In the case of County, to:

Name/Title: Scott Gruendl, Assistant Director
Address: 2000 Alameda de las Pulgas, San Mateo, CA 94403 Telephone: 650-573-2491
Facsimile: 650-573-2841
Email: sgruendl@smcgov.org

In the case of Contractor, to:

Name/Title: Kate Haaf, Director of Contracts
Address: 10420 Little Patuxent Pkwy, Suite 300, Columbia, MD 21044
Telephone: (443) 259-5220
Email: khaaf@air.org; cc: contracts@impaqint.com

19. Electronic Signature

Both County and Contractor wish to permit this Agreement and future documents relating to this Agreement to be digitally signed in accordance with California law and County's Electronic Signature

Administrative Memo. Any party to this Agreement may revoke such agreement to permit electronic signatures at any time in relation to all future documents by providing notice pursuant to this Agreement.


20. Payment of Permits/Licenses

Contractor bears responsibility to obtain any license, permit, or approval required from any agency for work/services to be performed under this Agreement at Contractor's own expense prior to commencement of said work/services. Failure to do so will result in forfeit of any right to compensation under this Agreement.

* * *

In witness of and in agreement with this Agreement's terms, the parties, by their duly authorized representatives, affix their respective signatures:

For Contractor: American Institutes for Research

Signed by:  <small>61317022746D4C9...</small> Contractor Signature	01/09/2025 Date	Kate Haaf Contractor Name (please print)
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COUNTY OF SAN MATEO

By:
President, Board of Supervisors, San Mateo County

Date:

ATTEST:

By:
Clerk of Said Board

EXHIBIT A - SERVICES
AMERICAN INSTITUTES FOR RESEARCH
FY 2024-2026

In consideration of the payments set forth in Exhibit B, Contractor shall provide the following services:

- I. Contractor shall provide reporting and evaluation services for the Behavioral Health and Recovery Services Division (BHRS). These services will focus on evaluating the quality, utilization and need of mental health and substance use services for BHRS clients. Services are subject to prioritization by the County and Contractor. Other services may take the place of services described herein as deemed necessary by the County. Specific duties will include, but are not limited to, the following services:
 - A. Contractor will support BHRS evaluation and reporting needs as follows:
 1. National Committee for Quality Assurance (NCQA) performance and quality measures
 - a. In Year 1 of the contract term, develop an approach to measuring three NCQA performance and quality measures for the state's Comprehensive Quality Strategy, including:
 - i. Antidepressant Medication Management
 - ii. Use of First-Line Psychosocial Care for Children and Adolescents on Antipsychotics
 - iii. Adherence to Antipsychotic Medications for Individuals with Schizophrenia.
 - b. Review NCQA Standards for each measure, review state Performance Quality Strategies to determine reporting requirements
 - c. Develop a methodology and plan for collection of data.
 - d. Utilize the approach developed in Year 1 to complete and submit both the state requirements and an annual report for BHRS, in Year 2 of the contract term.
 - i. Work with BHRS to prepare the data used in the evaluation for inclusion in a BHRS developed dashboard.

- ii. Document the methodology for reference when there are questions about the data or the need for future or additional research including, but not limited to:
 - a) Description of the data by definition
 - b) Context in which it was used
 - c) Mapping of data source and noting any irregularities or modification of the data
- 2. SB 43 program evaluation
 - a. Review various interventions and protocols and develop methodology and plan for assessing the “known population proactive engagement” and “involuntary pathway”.
 - b. Develop an approach to evaluate two to three (2-3) interventions per year.
 - c. Utilize the approach developed in Year 1 to develop an annual evaluation report in Year 1 of the contract term.
 - i. Review two to three (2-3) interventions and protocols,
 - ii. Work with BHRS to prepare the data used in the evaluation for inclusion in a BHRS developed dashboard
 - iii. Index the methodology for reference when there are questions about the data or the need for future or additional research including, but not limited to:
 - a) Description of the data by definition
 - b) Context in which it was used
 - c) Mapping of data source and noting any irregularities or modification of the data, such as recoding
- 3. Attend the BHRS Reporting Meeting to share Contractor’s progress completing all work for BHRS.
- 4. Participate in planning and design of ad hoc evaluations that emerge for BHRS during the contract period.
- 5. Support other BHRS reporting needs, as needed.

B. Contractor will support with evaluation and assessment activities for the Opioid Settlement Funds (OSF)

1. Meet monthly with BHRS staff to update the evaluation plan for the OSF in Year 1 of the contract term.
 2. Assist the state in data collection, analysis and reporting to quantify the impact of OSF investments.
 3. Complete up to twenty (20) interviews with clients, providers, and County staff.
 4. Assess opioid settlement spending (slightly different than this year, more of a review of our allocation of funds).
 5. Review the overdose, coalition, and reporting dashboard.
 6. Submit an annual evaluation report assessing the impact of OSF investments, in Year 2 of the contract term.
- C. Contractor will support Mental Health Services Act (MHSA) reporting and evaluation activities
1. Full-Service Partnerships (FSP) Reporting
 - a. Submit the annual FSP Outcomes Report, by November 30th of each fiscal year, to include data and analysis from:
 - i. Self-reported survey data required by the Department of Health Care Services (i.e., Partnership Assessment Form, Key Event Tracking and Quarterly Assessments) collected by FSP providers.
 - ii. BHRS electronic health records (EHR) data.
 - iii. A trend analysis comparing outcomes for active clients across fiscal years.
 - iv. Findings from qualitative data collection will be incorporated into the report; the qualitative data collection activities will include the following, annually:
 - a) Up to twenty (20) key informant interviews with a sample of providers
 - b) Up to fifteen (15) clients and or family members of clients who participate in the FSP program.
 - c) Work closely with BHRS to ensure these goals are met.
 - v. Provide raw data to RAND Corporation, a research and development organization, for the statewide evaluation of FSP programs.

- a) This includes uploading the raw data to RAND's database, troubleshooting and answering questions.
 - b) Additional time may be requested if cleaning data before uploading is required.
 - vi. Provide ongoing technical assistance related to FSP data requirements, including but not limited to:
 - a) Provide technical assistance to FSP providers to convert FSP data to match the State Data Collection & Reporting (DCR) validation matrices.
 - b) Design scripts to convert the FSP data file into the DCR format then test and validate the files before uploading to the DCR system.
 - c) Work with FSP providers to assess if missing outcomes data from their system can be made available for analysis and reporting.
 - d) Prepare annual data from all FSP providers for batch upload to the state Behavioral Health Information System (BHIS).
- b. Prevention and Early Intervention Reporting
 - i. Submit the annual Outreach Collaboratives Report, by November 30th of each fiscal year, to include data analysis from self-reported outreach data collected by providers.
 - ii. Submit a quarterly simple report out of outreach activities and demographic data of individuals engaged to support monitoring and trend analysis.
 - iii. Submit a monthly data entry report out on excel file to support providers' data entry tracking.
- c. MHSA Program Annual Reports
 - i. Support the following twelve (12) MHSA-funded programs with MHSA Annual Reporting requirements:
 - a) Older Adult System of Integrated Services (OASIS)
 - b) Child Welfare Partners and Pre-to-Three

- c) School-Based Mental Health
 - d) Neurosequential Model of Therapeutics (NMT)
 - e) Adult Resource Management
 - f) Primary Care Interface (PCI)
 - g) Board and Cares
 - h) Pathways Court Mental Health
 - i) Puente Clinic for Intellectually Disabled Dual Diagnosis
 - j) Mateo Lodge Embedded FSP
 - k) Homeless Engagement Assessment and Linkage (HEAL)
 - l) Evidence-Based Practice Clinicians
- ii. Facilitate interviews or input sessions to inform the narrative, client stories and program successes and challenges.
 - iii. Submit the annual reports (five (5) page maximum), by November 30th of each fiscal year, for the above-mentioned programs, using a BHRS provided Annual Reporting Template that includes the following information, as appropriate per program:
 - a) Client served
 - b) Client demographics
 - c) Client outcomes (using standardized metrics across programs, where appropriate)
 - d) Client stories
 - e) Program successes and challenges
- d. Evidence-Based Practice Clinicians
 - i. Develop a qualitative research plan for assessing the impact of the twelve (12) Evidence-Based Practice Clinicians hired to expand the capacity to provide evidence-based practices in BHRS outpatient treatment settings.
 - ii. Review relevant information about this initiative, to be provided by BHRS.

- iii. Develop the research questions and protocols, and conduct interviews with the clinicians.
 - iv. Report on findings from these interviews and support the design of evaluation indicators and measure to enhance future annual reports.
 - e. Other MHSA Evaluation Services
 - i. Support evaluation of AOD treatment success for selected populations, including AB109 and Laura's Law required annual reporting.
 - ii. Provide consultation as needed on designing evaluations, identify indicators and measures that are common among these programs, and data infrastructures that can be used for other MHSA programs.
 - iii. Participate in MHSA state-level meetings and webinars as needed to facilitate this work.
 - f. Copyediting and Formatting Services
 - i. Provide professional services for copyediting and formatting of the full MHSA Annual Update document for submission to the state.
 - ii. Ensure that the main report follows the specific guidance provided in the County's inclusive language toolkit.
 - iii. Format only (not copyedit) the appendices.
- D. Contractor will support MHSA Innovations (INN) evaluation and reporting needs for the Adult Residential In-home Support Element (ARISE) INN pilot program.
 - 1. Conduct a mixed methods evaluation including but not limited to the following activities:
 - a. Complete up to ten (10) client interviews and seven (7) ARISE staff interviews each fiscal year.
 - b. Utilize the evaluation design previously developed to collect outcome measures for clients enrolled in the program.
 - c. Conduct qualitative data collection annually.
 - d. Synthesize findings from qualitative and quantitative data sources and present findings and recommendations in the Annual Reports due December 30th of each fiscal year.

E. Goal and Objective

Goal 1: To provide timely research and evaluation services to BHRS.

Objective 1: 100% of specific products will be produced in a time frame mutually agreed upon by County and Contractor.

Goal 2: To provide analysis and documentation of all data collected.

Objective 2: 100% of quantitative and qualitative data collected through surveys, input sessions, focus groups, key interviews and other means will be tracked, analyzed and submitted to BHRS.

EXHIBIT B - RATES
AMERICAN INSTITUTES FOR RESEARCH
FY 2024-2026

In consideration of the services provided by Contractor in Exhibit A and subject to the terms of the Agreement, County shall pay Contractor based on the following fee schedule and terms:

I. The maximum amount County shall pay or be obligated to pay for BHRS Reporting and Evaluation services rendered under this Agreement shall not exceed ONE MILLION FOUR HUNDRED SEVENTY-FOUR THOUSAND FIVE HUNDRED SIX DOLLARS (\$1,474,506) for the term of this Agreement, January 1, 2025 through June 30, 2026.

A. For BHRS planning, reporting and evaluation services provided under Exhibit A, Paragraph I.A. of this Agreement, the maximum the Contractor shall be paid is FOUR HUNDRED FORTY-THREE THOUSAND FOUR HUNDRED SIX DOLLARS (\$443,406).

1. FY 2024-25

For the term July 1, 2025 to June 30, 2025, the total amount County shall be obligated to pay shall not exceed ONE HUNDRED FORTY-EIGHT THOUSAND THREE HUNDRED THIRTY-FOUR DOLLARS (\$148,334) as per the attached service rates.

2. FY 2025-26

For the term July 1, 2025 to June 30, 2026, the total amount County shall be obligated to pay shall not exceed TWO HUNDRED NINETY-FIVE THOUSAND SEVENTY-TWO DOLLARS (\$295,072) as per the attached service rates.

B. For Opioid Settlement Funds (OSF) evaluation and assessment services provided under Exhibit A, Paragraph I.B. of this Agreement the maximum the Contractor shall be paid is ONE HUNDRED FORTY-NINE THOUSAND EIGHT HUNDRED EIGHTEEN DOLLARS (\$149,818) as per the attached service rates.

1. FY 2024-25

For the term July 1, 2025 to June 30, 2025, the total amount County shall be obligated to pay shall not exceed FORTY-NINE THOUSAND NINE HUNDRED SEVENTY-FIVE DOLLARS (\$49,975) as per the attached service rates.

2. FY 2025-26

For the term July 1, 2025 to June 30, 2026, the total amount County shall be obligated to pay shall not exceed NINETY-NINE THOUSAND EIGHT HUNDRED FORTY-THREE DOLLARS (\$99,843) as per the attached service rates.

C. For MHSA reporting and evaluation services provided under Exhibit A, Paragraph I.C. of this Agreement the maximum the Contractor shall be paid is EIGHT HUNDRED FORTY-TWO THOUSAND ONE HUNDRED EIGHTY-TWO DOLLARS (\$842,182) as per the attached service rates.

1. FY 2024-25

For the term January 1, 2025 to June 30, 2025, the total amount County shall be obligated to pay shall not exceed THREE HUNDRED THIRTY THOUSAND SIX HUNDRED SEVENTY-TWO DOLLARS (\$330,672) as per the attached service rates.

2. FY 2025-26

For the term July 1, 2025 to June 30, 2026, the total amount County shall be obligated to pay shall not exceed FIVE HUNDRED ELEVEN THOUSAND FIVE HUNDRED TEN DOLLARS (\$511,510) as per the attached service rates.

D. For MHSA INN reporting and evaluation services provided under Exhibit A, Paragraph I.D. of this Agreement, the maximum the Contractor shall be paid is THIRTY-NINE THOUSAND ONE HUNDRED DOLLARS (\$39,100) as per the following payment schedule:

1. FY 2024-25

For the term January 1, 2025 to June 30, 2025, the total amount County shall be obligated to pay shall not exceed ELEVEN THOUSAND NINETY-EIGHT DOLLARS (\$11,098) as per the attached service rates.

2. FY 2025-26

For the term July 1, 2025 to June 30, 2026, the total amount County shall be obligated to pay shall not exceed TWENTY-EIGHT THOUSAND TWO DOLLARS (\$28,002) as per the attached service rates.

- E. Contractor shall submit monthly invoices for reimbursement, which will include an itemized list of services provided and subject to approval by the BHRS Manager.

- F. Payment by County to Contractor shall be monthly. Contractor shall submit an invoice to County on or before the fifteen (15th) working day of each month for services provided the prior month. The invoice shall include the number of hours worked and the hourly rate of pay per staff position. Payment shall be made no later than the tenth (10th) calendar day following receipt of invoice.

- G. Invoice Certification and Program Integrity

Anytime Contractor submits an invoice to the County for reimbursement for services provided under Exhibit A of this Agreement, Contractor shall certify by signature that the invoice is true and accurate by stating the invoice is submitted under the penalty of perjury under the laws of the State of California.

The invoice must include the following language and signature line at the bottom of the form(s) and/or cover letter used to report the invoice:

“Under the penalty of perjury under the laws of the State of California, I hereby certify that this invoice for services complies with all terms and conditions referenced in the Agreement with San Mateo County.

Executed at _____ California, on _____, 20__

Signed _____ Title

Agency _____”

- H. Each payment to Contractor shall be conditioned on the performance of the services described in Exhibit A of this Agreement to the full satisfaction of the Chief of San Mateo County Health or designee. County shall have the right to withhold payment if county determines that the quantity or quality of the work performed is unacceptable.

- I. If County or Contractor finds that performance is inadequate, at the County's discretion, a meeting may be called to discuss the causes for the performance problem, and this Agreement may be renegotiated, allowed to continue to end of term, or terminated pursuant to Paragraph 4 of this Agreement. Any unspent monies due to performance failure may reduce the following year's agreement, if any.

- J. In the event this Agreement is terminated prior to June 30, 2026, the Contractor shall be paid for services already provided pursuant to this Agreement.

- K. The Chief of San Mateo County Health or designee is authorized to execute contract amendments which modify the County's maximum fiscal obligation by no more than \$25,000 (in aggregate), and/or modify the contract term and/or services so long as the modified term or services is/are within the current or revised fiscal provisions.

- L. County anticipates revenues from various sources to be used to fund services provided by Contractor through this Agreement. Should actual revenues be less than the amounts anticipated for any period of this Agreement, the maximum payment obligation and/or payment obligations for specific services may be reduced at the discretion of the Chief of San Mateo County Health or designee.

Attachment A - Rate Schedule

Title: Evaluation of the Quality, Utilization and Need of Mental Health and Substance Use Services for BHRS Clients
 American Institutes for Research
 Proposal Serial #: 24-8G707

Budget: Year 1 Jan 1, 2025 - Jun 30, 2025											
Labor Category	Hourly Rate	MHSA Evaluation		ARISE INN Eval		Opioid Settlement Fund		BHRS Other		Total	
		Hours	Cost	Hours	Cost	Hours	Cost	Hours	Cost	Hours	Cost
Project Director	\$ 213.72	240	\$ 51,293	2	\$ 427	15	\$ 3,206	32	\$ 6,839	289	\$ 61,765
Deputy Project Director	\$ 231.20	100	\$ 23,120	0	\$ -	54	\$ 12,485	80	\$ 18,496	234	\$ 54,101
Research Analyst II	\$ 101.20	380	\$ 38,456	30	\$ 2,985	60	\$ 6,072	80	\$ 8,096	550	\$ 55,609
Research Analyst III	\$ 116.17	740	\$ 85,966	0	\$ -	0	\$ -	240	\$ 27,881	980	\$ 113,847
Task Lead II	\$ 154.19	540	\$ 83,263	30	\$ 4,626	178	\$ 27,446	200	\$ 30,838	948	\$ 146,172
QA Reviewer I	\$ 191.76	75	\$ 14,382	4	\$ 767	4	\$ 767	8	\$ 1,534	91	\$ 17,450
Research Analyst I	\$ 79.92	320	\$ 25,574	0	\$ -	0	\$ -	0	\$ -	320	\$ 25,574
Economist	\$ 195.75	0	\$ -	0	\$ -	0	\$ -	240	\$ 46,980	240	\$ 46,980
Senior Technical Advisor I	\$ 191.76	0	\$ -	0	\$ -	0	\$ -	40	\$ 7,670	40	\$ 7,670
Total Direct Labor		2395	\$ 322,054	66	\$ 8,806	311	\$ 49,975	920	\$ 148,334	3692	\$ 529,169
Other Direct Costs											
Interview Incentives	\$ 60	47	\$ 2,820	0	\$ -	0	\$ -	0	\$ -	47	\$ 2,820
Transcription	\$ 100	47	\$ 4,700	20	\$ 2,000	0	\$ -	0	\$ -	67	\$ 6,700
G&A on ODCs (14.60%)			\$ 1,098		\$ 292		\$ -		\$ -		\$ 1,390
Total Other Direct Costs			\$ 8,618		\$ 2,292		\$ -		\$ -		\$ 10,910
Total Time & Materials			\$ 330,672		\$ 11,098		\$ 49,975		\$ 148,334		\$ 540,079

Total Year 1 Budget \$ 540,079

Budget: Year 2 Jul 1, 2025 - Jun 30, 2026											
Labor Category	Hourly Rate	MHSA Evaluation		ARISE INN Eval		Opioid Settlement Fund		BHRS Other		Total	
		Hours	Cost	Hours	Cost	Hours	Cost	Hours	Cost	Hours	Cost
Project Director	\$ 222.80	200	\$ 44,560	12	\$ 2,674	12	\$ 2,674	40	\$ 8,912	264	\$ 58,819
Deputy Project Director	\$ 241.02	100	\$ 24,102	8	\$ 1,928	93	\$ 22,415	180	\$ 43,384	381	\$ 91,829
Research Analyst II	\$ 105.50	575	\$ 60,663	50	\$ 5,275	120	\$ 12,660	200	\$ 21,100	945	\$ 99,698
Research Analyst III	\$ 121.10	1240	\$ 150,164	72	\$ 8,719	0	\$ -	400	\$ 48,440	1712	\$ 207,323
Task Lead II	\$ 160.75	1025	\$ 164,769	45	\$ 7,234	350	\$ 56,263	320	\$ 51,440	1740	\$ 279,705
QA Reviewer I	\$ 199.91	60	\$ 11,995	8	\$ 1,599	20	\$ 3,998	32	\$ 6,397	120	\$ 23,989
Research Analyst I	\$ 160.75	600	\$ 49,986	0	\$ -	0	\$ -	0	\$ -	600	\$ 49,986
Economist	\$ 83.31	0	\$ -	0	\$ -	0	\$ -	500	\$ 102,030	500	\$ 102,030
Senior Technical Advisor I	\$ 204.06	0	\$ -	0	\$ -	0	\$ -	60	\$ 11,995	60	\$ 11,995
Total Direct Labor		3800	\$ 506,238	195	\$ 27,429	595	\$ 98,009	1732	\$ 293,697	6322	\$ 925,373
Other Direct Costs											
Interview Incentives	\$ 60	35	\$ 2,100	0	\$ -	0	\$ -	0	\$ -	35	\$ 2,100
Transcription	\$ 50	50	\$ 2,500	10	\$ 500	32	\$ 1,600.00	24	\$ 1,200.00	116	\$ 5,800
G&A on ODCs (14.60%)			\$ 672		\$ 73		\$ 233.60		\$ 175.20		\$ 1,153
Total Other Direct Costs			\$ 5,272		\$ 573		\$ 1,600		\$ 1,200		\$ 9,053
Total Time & Materials			\$ 511,510		\$ 28,002		\$ 99,843		\$ 295,072		\$ 934,427

Total Year 2 Budget \$ 934,427

Total Proposed Budget \$ 1,474,506

Attachment H

Health Insurance Portability and Accountability Act (HIPAA) Business Associate Requirements

DEFINITIONS

Terms used, but not otherwise defined, in this Schedule shall have the same meaning as those terms are defined in 45 Code of Federal Regulations (CFR) sections 160.103, 164.304, and 164.501. All regulatory references in this Schedule are to Title 45 of the Code of Federal Regulations unless otherwise specified.

- a. **Business Associate.** "Business Associate" shall generally have the same meaning as the term "business associate" at 45 CFR 160.103, and in reference to the parties to this agreement shall mean Contractor.
- b. **Covered Entity.** "Covered entity" shall generally have the same meaning as the term "covered entity" at 45 CFR 160.103, and in reference to the party to this agreement shall mean County.
- c. **HIPAA Rules.** "HIPAA rules" shall mean the Privacy, Security, Breach Notification and Enforcement Rules at 45 CFR part 160 and part 164, as amended and supplemented by Subtitle D of the Health Information Technology for Economic and Clinical Health Act provisions of the American Recovery and Reinvestment Act of 2009.
- d. **Designated Record Set.** "Designated Record Set" shall have the same meaning as the term "designated record set" in Section 164.501.
- e. **Electronic Protected Health Information.** "Electronic Protected Health Information" (EPHI) means individually identifiable health information that is transmitted or maintained in electronic media; it is limited to the information created, received, maintained or transmitted by Business Associate from or on behalf of Covered Entity.
- f. **Individual.** "Individual" shall have the same meaning as the term "individual" in Section 164.501 and shall include a person who qualifies as a personal representative in accordance with Section 164.502(g).
- g. **Privacy Rule.** "Privacy Rule" shall mean the Standards for Privacy of Individually Identifiable Health Information at 45 CFR Part 160 and Part 164, Subparts A and E.
- h. **Protected Health Information.** "Protected Health Information" (PHI) shall have the same meaning as the term "protected health information" in Section 160.103 and is limited to the information created or received by Business Associate from or on behalf of County.
- i. **Required By Law.** "Required by law" shall have the same meaning as the term "required by law" in Section 164.103.
- j. **Secretary.** "Secretary" shall mean the Secretary of the United States Department of Health and Human Services or his or her designee.
- k. **Breach.** The acquisition, access, use, or disclosure of PHI in violation of the Privacy Rule that compromises the security or privacy of the PHI and subject to the exclusions set forth in Section 164.402. Unless an exception applies, an impermissible use or disclosure of PHI *is presumed* to be a breach, unless it can be demonstrated there is a low

probability that the PHI has been compromised based upon, at minimum, a four-part risk assessment:

1. Nature and extent of PHI included, identifiers and likelihood of re-identification;
 2. Identity of the unauthorized person or to whom impermissible disclosure was made;
 3. Whether PHI was actually viewed or only the opportunity to do so existed;
 4. The extent to which the risk has been mitigated.
- l. **Security Rule.** "Security Rule" shall mean the Security Standards for the Protection of Electronic Protected Health Information at 45 CFR Part 160 and Part 164, Subparts A and C.
- m. **Unsecured PHI.** "Unsecured PHI" is protected health information that is not rendered unusable, unreadable, or indecipherable to unauthorized individuals through the use of a technology or methodology specified by the Secretary in relevant HHS guidance.
- n. **Security Incident.** "Security Incident" shall mean the attempted or successful unauthorized access, use, disclosure, modification, or destruction of information or interference with systems operations in an information system. "Security Incident" includes all incidents that constitute breaches of unsecured protected health information.

OBLIGATIONS AND ACTIVITIES OF CONTRACTOR AS BUSINESS ASSOCIATE

- a. Business Associate agrees to not use or further disclose Protected Health Information other than as permitted or required by the Agreement or as required by law.
- b. Business Associate agrees to use appropriate safeguards to comply with Subpart C of 45 CFR part 164 with respect to EPHI and PHI, and to prevent the use or disclosure of the Protected Health Information other than as provided for by this Agreement.
- c. Business Associate agrees to make uses and disclosures requests for Protected Health Information consistent with minimum necessary policy and procedures.
- d. Business Associate may not use or disclose protected health information in a manner that would violate subpart E of 45 CFR part 164.504 if used or disclosed by Covered Entity.
- e. Business Associate agrees to mitigate, to the extent practicable, any harmful effect that is known to Business Associate of a use or disclosure of Protected Health Information by Business Associate in violation of the requirements of this Agreement.
- f. Business Associate agrees to report to County any use or disclosure of Protected Health Information not authorized by this Agreement.
- g. Business Associate agrees to ensure that any agent, including a subcontractor, to whom it provides Protected Health Information received from, or created or received by Business Associate on behalf of County, agrees to adhere to the same restrictions and conditions that apply through this Agreement to Business Associate with respect to such information.
- h. If Business Associate has Protected Health Information in a Designated Record Set, Business Associate agrees to provide access, at the request of County, and in the time and manner designated by County, to Protected Health Information in a Designated Record Set, to County or, as directed by County, to an Individual in order to meet the requirements under Section 164.524.

- i. If Business Associate has Protected Health Information in a Designated Record Set, Business Associate agrees to make any amendment(s) to Protected Health Information in a Designated Record Set that the County directs or agrees to make pursuant to Section 164.526 at the request of County or an Individual, and in the time and manner designed by County.
- j. Business Associate agrees to make internal practices, books, and records relating to the use and disclosure of Protected Health Information received from, or created or received by Business Associate on behalf of County, available to the County at the request of County or the Secretary, in a time and manner designated by the County or the Secretary, for purposes of the Secretary determining County's compliance with the Privacy Rule.
- k. Business Associate agrees to document such disclosures of Protected Health Information and information related to such disclosures as would be required for County to respond to a request by an Individual for an accounting of disclosures of Protected Health Information in accordance with Section 164.528.
- l. Business Associate agrees to provide to County or an Individual in the time and manner designated by County, information collected in accordance with Section (k) of this Schedule, in order to permit County to respond to a request by an Individual for an accounting of disclosures of Protected Health Information in accordance with Section 164.528.
- m. Business Associate shall implement administrative, physical, and technical safeguards that reasonably and appropriately protect the confidentiality, integrity, and availability of EPHI that Business Associate creates, receives, maintains, or transmits on behalf of County.
- n. Business Associate shall conform to generally accepted system security principles and the requirements of the final HIPAA rule pertaining to the security of health information.
- o. Business Associate shall ensure that any agent to whom it provides EPHI, including a subcontractor, agrees to implement reasonable and appropriate safeguards to protect such EPHI.
- p. Business Associate shall report to County any Security Incident within three (3) business days of becoming aware of such incident. Business Associate shall also facilitate breach notification(s) to the appropriate governing body (i.e. HHS, OCR, etc.) as required by law. As appropriate and after consulting with County, Business Associate shall also notify affected individuals and the media of a qualifying breach.
- q. Business Associate understands that it is directly liable under the HIPAA rules and subject to civil and, in some cases, criminal penalties for making uses and disclosures of Protected Health Information that are not authorized by this Attachment, the underlying contract as or required by law.

PERMITTED USES AND DISCLOSURES BY CONTRACTOR AS BUSINESS ASSOCIATE

Except as otherwise limited in this Schedule, Business Associate may use or disclose Protected Health Information to perform functions, activities, or services for, or on behalf of, County as specified in the Agreement; provided that such use or disclosure would not violate the Privacy Rule if done by County.

OBLIGATIONS OF COUNTY

- a. County shall provide Business Associate with the notice of privacy practices that County produces in accordance with Section 164.520, as well as any changes to such notice.
- b. County shall provide Business Associate with any changes in, or revocation of, permission by Individual to use or disclose Protected Health Information, if such changes affect Business Associate's permitted or required uses and disclosures.
- c. County shall notify Business Associate of any restriction to the use or disclosure of Protected Health Information that County has agreed to in accordance with Section 164.522.

PERMISSIBLE REQUESTS BY COUNTY

County shall not request Business Associate to use or disclose Protected Health Information in any manner that would not be permissible under the Privacy Rule if so requested by County, unless the Business Associate will use or disclose Protected Health Information for, and if the Agreement provides for, data aggregation or management and administrative activities of Business Associate.

DUTIES UPON TERMINATION OF AGREEMENT

- a. Upon termination of the Agreement, for any reason, Business Associate shall return or destroy all Protected Health Information received from County, or created, maintained, or received by Business Associate on behalf of County, that Business Associate still maintains in any form. This provision shall apply to Protected Health Information that is in the possession of subcontractors or agents of Business Associate. Business Associate shall retain no copies of the Protected Health Information.
- b. In the event that Business Associate determines that returning or destroying Protected Health Information is infeasible, Business Associate shall provide to County notification of the conditions that make return or destruction infeasible. Upon mutual agreement of the Parties that return or destruction of Protected Health Information is infeasible, Business Associate shall extend the protections of the Agreement to such Protected Health Information and limit further uses and disclosures of such Protected Health Information to those purposes that make the return or destruction infeasible, for so long as Business Associate maintains such Protection Health Information.

MISCELLANEOUS

- a. **Regulatory References.** A reference in this Schedule to a section in the HIPAA Privacy Rule means the section as in effect or as amended, and for which compliance is required.
- b. **Amendment.** The Parties agree to take such action as is necessary to amend this Schedule from time to time as is necessary for County to comply with the requirements of the Privacy Rule and the Health Insurance Portability and Accountability Act, Public Law 104-191.

- c. **Survival.** The respective rights and obligations of Business Associate under this Schedule shall survive the termination of the Agreement.
- d. **Interpretation.** Any ambiguity in this Schedule shall be resolved in favor of a meaning that permits County to comply with the Privacy Rule.
- e. **Reservation of Right to Monitor Activities.** County reserves the right to monitor the security policies and procedures of Business Associate.